
UTAH DIVISION OF HOUSING AND COMMUNITY DEVELOPMENT

2013-14 COMPREHENSIVE ANNUAL PERFORMANCE EVALUATION REPORT (CAPER)

The 2013-14 Comprehensive Annual Performance and Evaluation Report (CAPER) details the activities which were financed by HUD funded block grants, and received by the State of Utah for the program year running from July 1, 2013 to June 30, 2014. This report is an evaluation of the Utah Division of Housing and Urban Development's efforts and is based upon the plans outlined in HCD's 2013 Annual Action Plan. The 2013 Annual Action Plan is an update to the Consolidated Plan outlined in 2010 for the years of 2010-15.

State run HUD programs include the Community Development Block Grant (CDBG), Home Investment Partnerships Program (HOME), Emergency Solutions Grant (ESG), and Housing for Persons with HIV/AIDS (HOPWA) programs.

A portion of this report was completed online using HUD's IDIS CAPER Template. This portion covers ESG activities and can be found in Appendix V.

For comments regarding this document please contact Elias Wise at 801-468-0140 or by email at ewise@utah.gov

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EXECUTIVE SUMMARY

The Utah Division of Housing and Urban Development has made important progress during the 13-14 program year. Despite a three hundred thousand dollar decrease in funding by HUD, HCD has, in the last year, significantly increased the total number of units assisted. In all 972 housing units were created or assisted, HCD funding created 2,355 jobs, and leveraging increased to \$14.66 for every \$1 HCD has spent. These all represent large year over year increases. Additionally, the average subsidy provided by HCD towards the creation of a new unit or rehabilitation of existing units, decreased by \$1,469 for multi-family units and \$5,460 for single family units. HCD is assisting more units and is being more efficient in these efforts.

One major change which has occurred during this program year is that the division has sponsored a Transit Oriented Development Fund. This fund is a collaborative effort between HCD and various lending institutions in Utah. The HOME board has committed \$5 Million towards this fund. So far the fund has received preliminary commitments of \$35 Million from private banking institutions. These banks are using this fund as an opportunity to invest Community Reinvestment Act Funds in to the local community. The TOD Fund is designed to fund large multi-family housing properties along transit oriented areas. These locations include stops along the many TRAX and Frontrunner lines which operate along the Wasatch Front.

CITIZEN PARTICIPATION

In the 2010-15 Consolidated Plan HCD outlines its extensive efforts to promote citizen participation. In regards to the 2013-14 CAPER, HCD began its public comment period on Aug 29th and conducted a public hearing on Sep 30th, 2014. In a notice posted in state-wide newspapers on August 29th HCD invited public comment for the CAPER. The notice states:

The Utah Housing and Community Development Division will hold a public hearing on September 30, 2014, beginning at 8:00 am at 1385 South State Street, Room 157A, to hear comments about the 2013-14 Consolidated Annual Performance and Evaluation Report (CAPER). HCD will receive comments until 5:00 pm that same day. A copy of the report is posted on <http://housing.utah.gov/owhlf/report.html>. Equal Opportunity Employer Program - Auxiliary aids and services are available upon request to individuals with disabilities by calling 801-526-9240. Individuals with speech and/or hearing impairments may call the Relay Utah by dialing 711. Spanish Relay Utah: 1-888-346-3162

Aside from this public notice, additional notices were placed in the state public notice registry found online at: <http://www.utah.gov/pmn/index.html>. Also community partners and were notified through email of the CAPER being published online and available for comment.

No public comments were received as a result of the public notice and hearing.

OTHER AGENCIES CONSULTED

During the 12-month performance period ending June 30, 2014, HCD participated in various housing and community development forums. Participation was active, with HCD often providing presentations or moderating sessions. These forms included the April 2014 Utah NAHRO meeting, August 2013 Utah Native America Summit, Utah League of Cities and Towns fall conference, the annual Homeless Summit, the local and state homeless coordinating committee meetings, the Utah Housing Coalition's monthly meetings and annual conference, monthly meetings of the Utah Housing Education Coalition, state Self Help Coordinator's state-wide meeting, Associations of Government(AOG) directors' meeting, the Salt Lake County Council of Governments, quarterly meetings of the AOG planners, and the April 2014 Utah Apartments Association annual conference.

Attendance at these events has provided HCD with an appreciation of issues and opportunities affecting housing and community partners while confirming the priorities for the 2013-14 Action Plan and Update.

TABLE 2C SUMMARY OF SPECIFIC OBJECTIVES

This table was created for the 2010-2015 Consolidated Plan. Specific goals were made regarding HCD's performance on 19 indicators. Each year, during the five year period covered by the Consolidated Plan, annual goals are made regarding our performance. At the end of the program year, the actual results of our efforts are outlined shown.

Table 2C Summary of Specific Objectives										
Specific Obj. #	2010-15 Strategic Objective met with proposed action	All goal met with proposed action	Priority	Sources of Funds	Proposed Allocation of HUD \$	Performance Indicators	State Fiscal Year (July 1 to June 30)	Goal	For Annual CAPER Reporting	
			Indicate High (3), Medium (2), Low (1)						Actual Number (for State use only)	Percent Completed (for State use only)
DH-1	Availability of Affordable Housing									
DH-1.1	Provide fully-accessible housing									
	Goal #3	#1 - Lack of Afford. Housing	2	HOME & State Match	348,983	Households assisted (new SF and MF units also serving persons having physical disabilities)	2010-11	93	36	39%
			2	HOME & State Match	506,000		2011-12	40	58	145%
			3	HOME & State Match	506,000		2012-13	38	92	242%
			3	HOME & State Match	315,000		2013-14	35	148	423%
			3	HOME & State Match	315,000		2014-15	35		
DH-1.2	Provide housing for households with special needs (mental illness, seniors, etc.)									
	Goal #3	#1 - Lack of	3	HOME & State	2,032,500	Number of new units funded (not	2010-11	58	54	93%

		Afford. Housing		Match		otherwise included)				
			2	HOME & State Match	1,264,000		2011-12	100	43	43%
			3	HOME & State Match	1,264,000		2012-13	100	66	66%
			3	HOME & State Match	750,000		2013-14	90	265	294%
			3	HOME & State Match	750,000		2014-15	90		
DH-2	Affordability of Decent Housing									
DH-2.1	Develop more affordable rental housing									
	Goal #2	#1 - Lack of Afford. Housing & #2 Incongruity of Wages/Rents	3	HOME & State Match	1,980,000	Households assisted (total new units)	2010-11	110	603	548%
			3	HOME & State Match	2,530,000		2011-12	200	307	153%
			3	HOME & State Match	2,530,000		2012-13	200	538	269%
			3	HOME & State Match	1,500,000		2013-14	175	842	481%
			3	HOME & State Match	1,500,000		2014-15	175		
DH-2.2	Provide housing solutions to end chronic homelessness									
	Goal #3	#1 - Lack of Afford. Housing	2	HOME & State Match	inc. in above	Number of new units funded	2010-11	63	97	154%
			2	HOME & State Match	1,580,000		2011-12	125	49	39%
			3	HOME & State Match	1,580,000		2012-13	125	34	31%

			3	HOME & State Match	1,000,000		2013-14	110	45	41%
			3	HOME & State Match	1,000,000		2014-15	110		
DH-2.3	Increase homeownership opportunities for low income families									
	Goals #1 & 3	#1 - Lack of Afford. Housing	3	CDBG/HOME & State Match	1,605,596	Number of new homes created (IDA, Self Help, etc.)	2010-11	125	34	27%
			3	CDBG/HOME & State Match	772,000		2011-12	120	368	306%
			3	CDBG/HOME & State Match	772,000		2012-13	120	126	105%
			3	CDBG/HOME & State Match	475,000		2013-14	110	247	225%
			3	CDBG/HOME & State Match	475,000		2014-15	110		
DH-2.4	Provide housing for households with HIV/AIDS (through Tenant Based Rental Assistance; Facility-based Housing Assistance; and Short-term Rent, Mortgage and Utility Assistance).									
	Goals #2,3, and 4	#1 Lack of Afford. Housing	1	HOPWA	117,707	# of households served with rental assistance	2010-11	80	52	65%
			2	HOPWA	114,000		2011-12	50	48	96%
			2	HOPWA	114,000		2012-13	50	48	96%
			2	HOPWA	122,755		2013-14	35	39	111%
			2	HOPWA	122,755		2014-15	35		
DH-2.5	Increase capability of local agencies to plan and develop housing projects									
	Goals #1,2,3,4 and 5	#1 Lack of Afford. Housing & #3 Community	2	CDBG/HOME	104,000	Number of local agencies attending workshops and formal trainings	2010-11	3	47	1567%
			3	CDBG/HOME	25,000		2011-12	18	113	627%
			3	CDBG/HOME	25,000		2012-13	18	21	116%

		Nimbyism	3	CDBG/HOME	22,000		2013-14	45	129	287%
			3	CDBG/HOME	22,000		2014-15	45		
DH-2.6	Prevent homelessness through rental assistance									
	Goal #3	#1 Lack of Afford. Housing	3	ESG and match	new goal '11		2010-11	new goal 17	17	100%
			3	ESG and match	120,000	# of households served with TBRA rental assistance	2011-12	20	17	85%
			3	ESG and match	120,000		2012-13	20	17	85%
			3	ESG and match	120,000		2013-14	17	17	100%
			3	ESG and match	120,000		2014-15	17		
DH-3	Sustainability of Decent Housing									
DH-3.1	Preserve more affordable housing									
	Goals #1,2,3,4 and 5	#1 - Lack of Afford. Housing & #2 Incongruity of Wages/Rents	3	CDBG/HOME & State Match	1,305,000		2010-11	162	129	80%
			3	CDBG/HOME & State Match	2,476,392	Households assisted (MF and SF units preserved and rehabilitated including lead based paint abatement)	2011-12	280	432	154%
			3	CDBG/HOME & State Match	2,476,392		2012-13	280	291	104%
			3	CDBG/HOME & State Match	1,527,493		2013-14	180	579	322%
			3	CDBG/HOME & State Match	1,527,493		2014-15	180		
SL-1	Availability/Accessibility of Suitable Living Environment									
SL-1.1	Upgrade and provide more public facilities primarily benefiting low-income citizens									
	Goal #4	NA	3	CDBG	1,322,185	(LMI) persons served through	2010-11	7,573	1,161	15%

			3	CDBG	567,000	increased number of facilities and services	2011-12	4,100	4,130	100%
			3	CDBG	500,000		2012-13	3,500	7,771	222%
			3	CDBG	310,000		2013-14	2,200	2,198	100%
			3	CDBG	310,000		2014-15	2,200		
SL-2	Sustainability of Suitable Living Environment									
SL-2.1	Provide safe and clean water, primarily to low income persons, to improve the sustainability of the community.									
	Goals #3 and 4	#1 Lack of Afford. Housing	3	CDBG	1,200,000	(LMI) persons being served	2010-11	8,600	1,252	15%
			3	CDBG	352,000		2011-12	2,200	2,018	91%
			3	CDBG	300,000		2012-13	2,000	1,937	97%
			3	CDBG	185,000		2013-14	1,150	2,615	227%
			3	CDBG	185,000		2014-15	1,150		
SL-2.2	Provide warm and safe shelter for the homeless									
	Goal #3	#1 Lack of Afford. Housing & #3 Community Nimbyism	2	ESG and match	70,000	Shelter nights	2010-11	45,000	86,880	193%
			3	ESG and match	50,000		2011-12	45,000	90,752	202%
			3	ESG and match	200,000		2012-13	45,000	565,852	1,257%
			3	ESG and match	545,892		2013-14	100,000	539,105	539%
			3	ESG and match	545,892		2014-15	100,000		
SL-2.3	Remove barriers to disabled persons utilizing public facilities									
	Goal #4	NA	2	CDBG	300,000	Disabled persons being served	2010-11	3,200	10,811	338%
			3	CDBG	-		2011-12	100	280	280%
			3	CDBG	100,000		2012-13	100	873	873%
			3	CDBG	60,000		2013-14	60	2	3%

			3	CDBG	60,000		2014-15	60		
SL-2.4	Provide other public infrastructure improvements									
	Goal #4		2	CDBG	1,170,000	(LMI) persons being served	2010-11	23,525	3,480	15%
			2	CDBG	600,000		2011-12	4,600	2,113	45%
			2	CDBG	500,000		2012-13	4,000	485	12%
			2	CDBG	310,000		2013-14	2,600	1,484	57%
			2	CDBG	310,000		2014-15	2,600		
EO-1	Availability/Accessibility of Economic Opportunity									
EO-1.1	Create economic opportunity									
	Goal #1	NA	3	CDBG/HOME	250,000	Number of jobs created (includes OWHLF total production)	2010-11	1,034	1,298	126%
			2	CDBG/HOME	100,000		2011-12	1,250	840	67%
			2	CDBG/HOME	100,000		2012-13	1,250	1,306	104%
			2	CDBG/HOME	100,000		2013-14	1,250	1,423	114%
			2	CDBG/HOME	100,000		2014-15	1,250		
EO-1.2	Support services to increase self sufficiency for the homeless									
	Goal #3	#1 Lack of Afford. Housing & #3 Community Nimbyism	2	ESG and match	858,808	Hours of case management	2010-11	29,252	48,665	166%
			3	ESG and match	180,750		2011-12	15,000	23,832	159%
			3	ESG and match	180,750		2012-13	15,000	77,588	517%
			3	ESG and match	500,000		2013-14	40,000	52,498	132%
			3	ESG and match	500,000		2014-15	40,000		
EO-2	Affordability Economic Opportunity									

EO-2.1	Increase available affordable units of workforce housing									
	Goals #1,2,3 and 4	#2 Incongruity Wages/Rents	3	HOME	inc. in above	Number of new units created - also see DH 2.1 (duplicated)	2010-11	22	197	895%
			3	HOME	inc. in above		2011-12	65	242	372%
			3	HOME	inc. in above		2012-13	65	395	607%
			3	HOME	inc. in above		2013-14	65	358	551%
			3	HOME	inc. in above		2014-15	65		
EO-3	Sustainability of Economic Opportunity									
EO3.1	Insure that projects support LMI populations									
	Goal #4	All	3	HOME	inc. in above	Average AMI served through projects	2010-11	0.4	0.39	103%
			3	HOME	inc. in above		2011-12	0.4	0.32	120%
			2	HOME	inc. in above		2012-13	0.4	0.39	103%
			2	HOME	inc. in above		2013-14	0.4	0.42	105%
			2	HOME	inc. in above		2014-15	0.4		
CR-1	Community Revitalization									
CR-1.1	Plan for better communities and utilization of funds									
	Goals #4 and 5	All	3	CDBG	200,000	Number of LMI persons benefiting	2010-11	5,275	3,480	66%
			3	CDBG	100,000		2011-12	1,000	0	0%
			3	CDBG	100,000		2012-13	1,000	77	8%
			3	CDBG	60,000		2013-14	600	1,133	189%

			3	CDBG	60,000		2014-15	600		
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TABLE 2C NARRATIVE

The state of Utah has in the previous fiscal year met or exceeded its goals in most of the categories which we have outlined in this CAPER. The state has made in the table above 19 goals of which we were successful in meeting or exceeding our stated goal on 16 of these measures. We underperformed according to our goal on three measures. The first is DH 2.2 regarding the funding of new homeless units. The second is SL 2.3 regarding the funding of ADA renovations on public facilities. The last of the three is SL 2.4 which is regarding public facilities.

Goal DH 2.2 is regarding the creation of units of housing to support homelessness. While the HOME program is very supportive of ending homelessness, a majority of its funds are spent on the creation of affordable housing which targets a population making an average AMI of about 40%. HOME is also reactive to the requests it has for funding and due to its method of distribution, the HOME program is not always the driver in how its funds are used. This is the reason why homeless units being created has not met the goal as set in the CON Plan.

SL2.3 is regarding the rehabilitation of public facilities to increase accessibility to those with ambulatory disabilities. SL2.4 which looks at LMI persons served by public infrastructure improvements. These number have decreased as local governments have judged affordable housing as their major priorities. The CDBG program allows local regions to set their own rating and ranking systems and to evaluate their local needs. Also the CDBG program is reactive in nature in that it responds to the requests that come before it. Many rural communities served by the CDBG program have elected to come before the CDBG board regarding other community needs and not public infrastructure needs.

NARRATIVE 1 - LEAD BASED PAINT

Rehabilitation projects constructed pre-1978 and funded with any of HCD's entitlement funds (or matching funds) are required to meet all related federal regulations. Information about compliance to pertinent federal environmental has been posted to HCD's website at:

<http://jobs.utah.gov/housing/environmentalreview/index.html>

The website lists the guidance, applicable federal regulations, and other resources to assist any fund recipients with compliance to regulations governing:

1. Toxic Chemicals and Radioactive Materials– CERCLIS
2. Historic Properties
3. Floodplains Management
4. Wetlands Protection
5. Coastal Barriers (Not Applicable in Utah)
6. Sole Source Aquifers
7. Endangered Species Act
8. Wild and Scenic Rivers Act
9. Air Quality (Lead Based Paint & Asbestos)
10. Farmland Protections Policy Act
11. Environmental Justice
12. Environmental Standards
 - a. Noise Abatement and Control
 - b. Hazardous Operations (above ground storage tanks)
 - c. Airport Clear Zones & Accident Potential Zones

During the 2010-11 program year, HCD developed and premiered an on-line compliance environmental tool to assist recipients with environmental compliance. That tool is accessible through the same website.

Applicants for HUD funds through HCD must first register on-line through HCD's Webgrants system. As part of their request for funding, the applicant must complete the environmental section on-line. The link above, along with checklist instructions and other resources provided on the web page, guide applicants through the HUD environmental review process and on-line process. Additional information and technical assistance is available by calling Cheryl Brown, HUD Environmental Review Officer, at HCD.

NARRATIVE 2 - MARKET CONDITIONS

In spite of improvement in the overall economy, low incomes Utahans are struggling to find affordable housing. Affordability depends on two factors, the income of the individual, and the price of housing, whether through a mortgage payment or rent. In the last year incomes have risen, and unemployment has dropped. However, there are enduring negative effects from the great recession including low labor participation rates, and low income levels for recent college graduating classes. Also, while the economic rebound has improved household income, it has also led to increases in the price of homes, and in the cost of rents. Utah's housing market has had a very strong year with excellent year over year increases in home prices and new single-family housing starts. The successful return to a healthy housing market is important for Utah's economy, but increased prices are a barrier to entry into homeownership and are correlated with increases in the cost of rent. Additionally, increasingly stringent lending requirements have imposed difficult requirements including greater down payments and higher mortgage insurance costs. A result of this is an ongoing decline in the rate of homeownership. There are record numbers of renters but very little new multi-family housing construction. While vacancy rates remain low and rent prices increase, low income households will continue to feel financial strain.

HOMEOWNERSHIP NEEDS

In the last year Utah has seen a strong recovery in its housing market. Construction of new housing has jumped in the last year-especially for single family housing. While multi-family property starts increased they remain lower than at any time since the early 1990's. In all, about 10,000 new single family homes were built in the last year. The majority of these new properties were constructed on the Wasatch Front and Saint George areas. Few new starts are taking place in other areas of rural Utah as populations in many rural Utah counties continued their slow population decline.

Year over year housing values rose seven percent from the first quarter of 2013 to the first quarter of 2014. Utah's housing price index has shown strong gains for the last two years. Current economic projections indicate that housing values will continue to steadily increase. Utah's rebound has helped many homeowners regain value on their properties. The rebound has provided great relieve for homeowners who had previously been underwater on their mortgages. The recovery has also helped the many Utahans who have been struggling with foreclosure problems.

Increasing property values are encouraging, but they are also a barrier for first time home buyers. Utah's Housing Opportunity Index indicates that, in Utah's major cities, housing is less affordable than many other areas of the country. In the last year, as property values have risen, the share of homes affordable for median income households has decreased in every metropolitan area. When ranked against cities throughout the United States Utah metros have below average affordability. These comparative rankings take into account the local median income and assume that if a household would have to pay over 30% of its income on housing, that said household would be economically burdened and that the local housing stock would be unaffordable.

Entering into the housing market is still difficult for many Utahans. Despite low house prices and low mortgage rates, many first time home buyers struggle to finance the purchase of a home. The financial crisis has led to stricter lending practices. Increased credit and down-payments requirements have had the effect of making housing difficult to attain for low income and first time buyers and those with

troubled credit histories. Instead of growing as a result of households entering the market, growth in the housing market has come in part from institutional buyers and other cash buyers who have in the last few years taken advantage of the decrease in housing values. These buyers are partly responsible for the increases in housing prices.

RENTERS NEEDS

Renters as a whole earn much less money and have a very difficult time finding affordable housing. 60,400 Utahans, or 22% of renter households, are extremely low income meaning that they earn less than \$20,000 per year. To accommodate these households Utah would have to build 42,601 affordable rental units. Currently, less than 3,000 are built in a year. Due to the shortage of affordable housing units many renters are cost burdened. The percent of individuals who are cost burdened in regards to housing increases significantly as incomes decrease. This housing burden prevents poor families from building a safety net to allow them to weather what otherwise would be temporary difficulties.

An estimated forty-seven percent of renters cannot afford the \$794/month average payment for a two-bedroom apartment. This represents a two percent increase over last year. For the average renter this monthly cost equates to forty-seven percent of their monthly income. For those working minimum wage it would take 2.2 full time jobs to afford a two bedroom apartment.

Thirty percent of Utahans are renters. Many occupations which are very important to the economy, and are considered by many to be “middle class” occupations do not pay well enough to provide the households the income to be homeowners. Members of the workforces such as school teachers, nurses, policemen, and firemen are, according to their median incomes, priced out of a single family home, and sometimes even unable to afford a three bedroom apartment. The cost of housing puts them into a difficult situation often resulting in both parents working or forcing these citizens to live in substandard accommodations. Single parent families and those with disabilities are further compromised. Another side effect is that these challenges incentivize families to have smaller families and can result in an older workforce and eventually a decrease in the dependency ratio of workers to non-workers.

As more households turn to renting, vacancy rates, which have historically been low in Utah, have decreased even further. These low rental vacancy rates and a lack of new construction have put properties owners in an advantageous position where property upkeep and low rental rates are no longer needed to ensure boarders.

REHABILITATION NEEDS

In addition to this demand for new units, affordability for over 176,000 existing low- income housing units must be maintained. This includes over 97,000 rental units. A statewide survey of Utah’s low-income housing stock shows an ongoing need for rehabilitation. For the lowest income population, this equates to over 8,500 units needing full rehabilitation each year.

In parts of southeastern Utah, 34 percent of homes are considered deteriorated or dilapidated (unlivable). The needs for extensive rehabilitation of housing stock is serious in many rural counties in Utah. In many counties in central and eastern Utah the population is stagnant and little new housing is being built and the current housing stock is aging and not being properly maintained. OWHLF runs a rural single-family rehabilitation and reconstruction program to meet this situation. Under the OWHLF programs, participants living in these difficult, unsafe or

unsanitary conditions are identified and targeted for assistance. Referrals are often received from social service providers, church leaders and advocates for the poor. Virtually all the owner-occupied single-family homes rehabilitated by OWHLF in FY13 had health and safety issues.

NARRATIVE 3 - BARRIERS TO AFFORDABLE HOUSING

HCD updated its Analysis of Impediments in 2012-13 based upon a state-wide survey of citizens and housing provider agencies. That AI was attached with the 2012-13 Utah Annual Action Plan and can be accessed at: <http://housing.gov/owhlf/reports.html> The latest version of the AI reiterates the three major impediments and five other impediments to Fair Housing in Utah:

1. Lack of affordable housing
2. Incongruity between wages and rents
3. Community NIMBYism

Table 2C includes a column of proposed actions and a column that identifies the appropriate impediment to Fair Housing addressed by each action. As noted from that table, 15 of the 19 actions receiving HUD funding and undertaken during the CAPER reporting period 2013-14 address at least one of the major impediments with the majority of the actions supporting efforts to provide for more affordable housing.

The Analysis of Impediments also lists 14 actions (mostly no-cost actions) to be undertaken to address the impediments to fair housing and to assist all aggrieved classes of citizenry. OWHLF staff includes a discussion of these actions at staff meetings – to assess progress in completing these actions. To date, HCD is on target to substantially accomplish the AI's 13 actions by June 30, 2014 (see attached listing of actions in Narrative 4 below).

NARRATIVE 4 - ANALYSIS OF IMPEDIMENTS TO FAIR HOUSING

The state is required to conduct an analysis to identify impediments to fair housing choice within the state. The analysis is updated annually. Although HUD does not require the analysis to be submitted as part of the Consolidated Plan, the state submits the analysis and updates with the Annual Action Plan updates. In addition, the state must certify that it will affirmatively further fair housing; which means it will conduct the analysis, take appropriate actions to overcome the effects of any impediments, and maintain records reflecting the analysis and actions in this regard. The AOGs should discuss any local impediments to Fair Housing choice and actions to be taken to minimize those impediments.

Utah's current AI includes the following actions:

- (1) Promote Utah Fair Housing Conference Attendance
- (2) Facilitate Training of 2-1-1 Operators
- (3) Display Signage for Project Sites
- (4) Promote Staff & Other Attendance to Fair Housing Training
- (5) Inform Funds Recipients of Fair Housing Requirements
- (6) Provide Cities with New Housing Review Criteria

- (7)Conduct Annual Review of State Housing Needs
- (8)Maintain Housing Needs Database
- (9)Promote Integration of Affordable Housing
- (10)Continued Participation in Housing Forums
- (11)Verification of Projects' Furthering Fair Housing
- (12)Coordination w/ Organizations to Improve Fund Allocations
- (13)Fund Minority Ventures and Section 3 guidelines

1. In the last year we have substantially updated the division's analysis of impediments. This included using the most recent ACS information to update all information in the document. Extensive formatting was undertaken into improve the documents readability—this is especially true for the charts and graphs present in the document.

All members of the Olene Walker Housing Loan Fund reviewed the action plan for affirmatively furthering fair housing. These goals were updated. This included consolidating existing goals as well as adding additional action items to our list.

2. HCD made important changes to the state mandated biennial affordable housing report. This report is required to be completed by all cities. In the past, the report focused only on affordability without addressing protected classes. OWHLF has updated the report request to include the following questions:

“Are you aware of any other barriers to Fair Housing on the basis of race, color, national origin, religion, sex, disability, or familial status? If Yes: please describe those barriers and what steps are being taken to provide an adequate supply of Fair Housing in your community.”

“Does the stock of housing designed to accommodate disabled individuals meet the needs of the disabled population of your city? If Yes: please provide additional detail and describe how the current housing stock meets the needs of disabled residents in your community. If No: please describe the efforts you are making to obtain this information and any plans you have to provide Fair Housing for the disabled.”

We believe that the addition of these two questions will assist in raising awareness of, and gathering information about, fair housing. Ultimately, HCD hopes that the addition of these questions will result in actions being undertaken to further fair housing opportunities.

3. HCD has worked on improving connection to other agencies which work on fair housing. We have reached out to the Disability Law Center which provides a free seminar on fair housing, and also collects complaints regarding fair housing. We have requested, and received a list of the complaints they have received and actions undertaken to address these complaints. We have also reached out to the anti-discrimination unit of the Utah Labor Commission. Because local cities generally do not have procedures in place to receive or catalogue fair housing complaints this is the main group responsible for receiving and reviewing fair housing complaints. We have contacted this organization and hope to collaborate with them in order to better understand the nature and seriousness of the complaints which are being lodged.

4. HCD is also been working on hiring low income businesses for federally-funded construction projects. In order to accomplish this, HCD is registering low income businesses and encouraging other government agencies to get low income businesses registered so that they can be recognized and encouraged to bid on government contracts.

5. HCD has participated in fair housing forums, trainings, and conferences in order to improve fair housing awareness and activity. Additionally, we have maintained our affordable housing database and maintained our verification system in checking on fair housing compliance within public housing.

6. HCD in its annual trainings has promoted the use of Language Access Plans and Reasonable Accommodations Plans. This effort includes providing a template for local governments to use to implement their own plans. HCD has also started using a more stringent monitoring checklist so as to ensure compliance with federal fair housing regulations. These efforts are aimed at promoting the inclusion and accessibility of our programs for those with disabilities and minority groups.

NARRATIVE 5 - METHOD OF DISTRIBUTION

HOME

During 2013-14, HCD adhered to the planned priorities contained within Narrative 5 of the 2010-15 Utah Consolidated Plan. In adhering to that plan, HCD held quarterly and electronic OWHLF Board meetings per Utah's open meeting law and "Public Participation Plan". In supporting the Board, HCD housing staff adhered to internal policies and procedures that govern application submittals, due dates, review of applications, contract issuance, funding set asides, monitoring protocol, financial management systems, and etc.

The asset value of the Olene Walker Housing Loan Fund which includes HOME, HOME PI, State Match, and State Match PI increased for the year from \$114 million to \$120 million. HCD's focus on loans verses grants has contributed valuable program income to meet Utah's growing need to capitalize affordable housing developments. HOME has also set aside \$5 Million in funds for a Transit Oriented Development Fund. These funds are being leverage with private bank CRA funds and so far preliminary commitments have been made to the amount of \$35 Million.

HOPWA

FY14 funds were allocated via a competitive RFP published in May 2014.

Applications were reviewed by SCSO staff according to the criteria described in the prior column.

Table 2C shows that HCD served 39 households with HOPWA assistance for the program year 2013-14.

ESG

Funds were awarded via an application process in conjunction with the award of state homeless funds. Application materials and training were available online February 2013 with application due on March 8, 2013. The Allocation Committee of the State Homeless Coordinating Committee reviewed all applications with final awards being announced on May 17, 2013 and contracts being effective as of July 1, 2013.

Agency	Allocation	Purpose
Catholic Community Services	\$5,000	UHMIS implementation
Family Connection Center	\$58,842	Rapid Re-housing

Family Promise	\$28,996	Rapid Re-housing and Emergency Shelter
Iron County Care & Share	\$26,464	Rapid Re-housing
St. Anne's Center	\$100,000	Rapid Re-housing
The Road Home	\$310,154	Rapid Re-housing and Emergency Shelter
Volunteers of America	\$213,298	Street Outreach and Emergency Shelter

CDBG

The Utah Small Cities program method of distribution ensured that all of the 2013 allocation was committed in advance of the beginning of the program year. Commitments were made by July 2013. Many of the projects are already complete and more than half of the program funds have been disbursed to the grantees. The State contracts with the sub-recipients are 18 months long and terminate December 2014.

The application process begins 6 months prior to the award from HUD each year. The CDBG method of distribution requires the application process to begin in November with thirteen "How to Apply" meetings geographically distributed throughout Utah. The meetings for the 2013 program year were held in October and November 2012. The applications were submitted electronically with a deadline of February 1, 2013, and rating and ranking occurred in March/April 2013. HCD actually awarded the funding to successful applicants in May although the program year did not begin until July 2013. All funding is awarded at one time. Contracts were issued in July 2013 following a mandatory grantee training workshop in May 2013.

Funds were first allocated to each of the 7 geographic regions. By distributing the funds regionally, HCD guarantees that all areas of the state have opportunities for projects. A base allocation of \$300,000 is provided to each region. The remainder of the funding is allocated on a per-capita basis. Each region has its own rating and ranking process, updated annually and approved by the state. The state mandates eight criteria and the regions are free to add additional criteria as approved by their regional Rating & Ranking committee. Each system is subject to public participation requirements. Approved projects were sent to the state CDBG staff for threshold eligibility and national objective compliance review

Each region has been permitted a maximum of 15% of the region's allocation for administration and planning activities. As demonstrated in the attached PER forms, the state is well within the 20% admin and planning cap.

NARRATIVE 6 - SOURCES OF FUNDS

For HOME funding, see the attached PR 33 Match Report. The PR 33 shows that HCD has overmatched HOME funds received with the match liability at + \$432,821. All years show match at 25 percent with a one-time exception in 2010 when the match was at 12.5%. HOME match is provided in direct appropriations through the Olene Walker Housing Loan Fund. For 2013-14, the legislature provided \$2,242,900 in total funding and HOME match was derived from that appropriation.

Overall, for the Olene Walker Housing Loan Fund, the program year was a better year for leveraging. The OWHLF Board allocated over \$12,353,684 in state and federal funds to support

multifamily projects. Over \$161 million was leveraged for multifamily projects and \$640K for single family projects.

CDBG

The State Small Cities CDBG program has only one primary source of income and that is the annual HUD allocation. In 2013, \$4,502,609 was received from HUD and distributed using the method outlined in the Application Policies and Procedures Manual located on our website at <http://jobs.utah.gov/housing/cdbg/applications.htm>. The only other possible source of funding is program income. However, in 2013 there was no CDBG program income.

ESG

The State of Utah received in 2013, \$822,441 in ESG funds. The State of Utah fully matches our ESG with State funds from the Pamela Atkinson Homeless Trust Fund \$2,219,302 and Critical Needs Housing Fund \$552,800. We use this state trust fund and our Critical Needs Housing fund to leverage our federal allocation of ESG on 3 to 1 bases. The majority going to support needed case management across the state to run programs such as those funded by ESG. We currently fund homeless services of Shelter, Outreach, HMIS and Rapid Re-housing with equal parts state funds to match these activities funded with ESG. Collectively we coordinate with City and County ESG as well to insure matching of funds also matches needs in our communities to provide a full continuum of services. We coordinate our allocation process with our CoC's priorities and fund supporting activities to meet these priorities. We also use ESG funds to support and match HMIS HUD funds.

NARRATIVE 7 – MONITORING

Briefly describe actions that will take place during the next year to monitor housing and community development activities and to ensure long term compliance with program requirements and comprehensive planning requirements. Program requirements include appropriate regulations and statutes of the programs involved, steps being taken to review affordable housing activities, efforts to ensure timeliness of expenditures, on-site inspections to determine compliance with applicable housing codes, and actions to be taken to monitor subrecipients.

CDBG

The State CDBG staff monitors all projects for program and financial compliance. This is carried out either via desk audit or on-site visit depending on the size and complexity of the project. Not all 2013 projects are completed at this time, but all beneficiaries are ultimately recorded in IDIS at the time of project closeout.

HOME

HCD maintains a full year schedule of monitoring assignments for property compliance to federal and state program requirements. A checklist used by the HCD monitoring staff insures that projects continue to target low-income populations for the duration of the loan term (generally 30 years). For 2013-14, HCD staff completed 171 compliance monitoring visits to individual multifamily properties.

During the program year, HCD focused monitoring on occupancy of set aside units. This focus insures that property originally targeted for a certain population group (disabled, chronically mentally ill, developmentally delayed, victims of domestic violence, elderly, homeless, AIDS victims, and persons needing transitional housing) are occupied by residents of that group. The results of HCD's focus show that units are occupied by income eligible households. However, only 79% of the units are occupied by residents of a targeted special needs population. HCD staff has established protocols for property managers to better fill units with special needs residents.

ESG

Part of the ESG pre-application process was a state-wide training to familiarize agencies with the requirements and expectations of the ESG grant. After agencies were allocated funds, a second and more in-depth training was provided followed by on-going training and technical assistance as needed. Each agency was required to provide an ESG Policy and Procedure Manual for review by the State ESG Program Specialist. Agencies were given a list of required policies and procedures as well as an ESG Monitoring Tool that was used by the state specialist during yearly on-site and/or desk top monitoring inspections. Agencies were required to submit online requests for reimbursement with back-up documentation at least quarterly. Each first request was reviewed in detail by the SCSO Field Audit Supervisor and all following requests were reviewed by program specialists with random spot checks by the Field Audit Supervisor. UHMIS reports were pulled quarterly for review and comparison of agency activity and progress.

HOPWA contracts have received both desktop and on site reviews during this fiscal year with no findings to be reported.

NARRATIVE 8 - SPECIFIC HOME SUBMISSION REQUIREMENTS

A copy of the 2013-14 Olene Walker Housing Loan Fund Report to the Utah Legislature is available on the OWHLF Website at:

http://jobs.utah.gov/housing/publications/annual_reports.html

HCD has completed and continues to complete the HOME-funded actions proposed within the 2010-15 Utah Consolidated Plan. As noted in table 2C, HCD completed or exceeded 9 of the 10 HOME related goals. In the case of DH 2.2 for creating new units to serve the chronically homeless population, there are lower than expected totals (45 verses 125 planned) because other funding sources were unable to fully participate.

NARRATIVE 9 - SPECIFIC HOPWA SUBMISSION REQUIREMENT

Out of 4 HOPWA Project sponsors, the following three had admin allocations:

Salt Lake CAP expended \$4,294 toward Administrative costs toward accounting staff salaries & benefits.

Housing Authority of County of Salt Lake expended \$2,324.92 out of initial \$3,500 Administrative costs toward portion of staff salaries and benefits for accountant, Housing Specialist and Supportive Housing Manager.

Housing Authority of Ogden City expended \$2,554.10 out of initial \$2,735 Administrative costs toward portion of accounting staff salaries & benefits.

A Total of \$9,173.02 Admin allocation was expended out of the initial \$10,529 allocations.

NARRATIVE 10 - HOMELESS AND OTHER SPECIAL NEEDS (INCLUDING ESG)

Narrative 10 Homelessness and other special needs

Utah continues to be a national leader on homeless issues. We have effectively used programs to reduce the chronic homeless population by 72% since 2005 and to effectively end homelessness through the Emergency Solutions Grant Rapid Re-Housing Program.

The State Homeless Coordinating Committee has worked to ensure a collaborative use of funds under its discretion, including the addition of TANF for prevention and rapid rehousing rental assistance activities.

The State continues to operate the Homeless Management Information System (HMIS) on behalf of the three Continua of Care and leads the efforts on the annual Point in Time Count. The continua of Care are also working to implement coordinated assessment systems, primarily through the HMIS format. Emphasis has been placed on accurate measurement of the needs, capacity, and gaps in services which exist in our system.

This information is shared with Utah's Local Homeless Coordinating Committees to help streamline services, fill the gaps and address the local needs of households experiencing homelessness within their jurisdictions.

The 2014 Utah Point in Time Count shows the count of those chronically homeless at 539. Since 2005, Utah's chronically homeless population is down 72%. HCD believes that "all can be housed" and continues to work toward ending chronic homelessness by 2015. The Point in Time Count for 2014 shows a decrease in overall homelessness from the previous year.

NARRATIVE 11 - DISCHARGE COORDINATION POLICY

Our policy is to establish and implement to the best of our ability and where appropriate, policies and procedures in conjunction with publicly funded institutions or systems of care. As we further develop our coordinated assessment system through our COC's we will have the standard procedures for diversion and prevention to prevent the discharge of individuals from immediately resulting in homelessness.

NARRATIVE 12 - ALLOCATION PRIORITIES AND GEOGRAPHIC DISTRIBUTION

The allocation of entitlement funds in 2013 continued to rely on the best available data. In the case of CDBG, HCD relied on existing area processes where the area Association of Governments (AOG)

solicits applications, prioritizes those applications, and awards funding. The distribution of HOPWA, HOME, and ESG funding also adhered to the approved Consolidated Plan.

All of the eligible Small Cities CDBG local governments and non-profit agencies receive notice of funding solicitations by the regional associations of government. Selection of projects within each region is based upon criteria including the consistency of the project to the goals and objectives of the area consolidated plan. All regions receive a portion of the state-wide HUD funding allocation.

Because rural areas are usually not served by another Participating Jurisdiction (P.J), HCD continues to target rural Utah for entitlement funding such as HOME through the Olene Walker Housing Loan Fund.

ESG Policy is to establish and implement to the best of its ability and where appropriate, policies and procedures in conjunction with publicly funded institutions or systems of care. As the ESG program further develop our coordinated assessment system through our COC's we will be better able to create procedures for diversion and prevention that will help prevent the discharge of individuals from immediately resulting in homelessness.

NARRATIVE 13 - COMMUNITY DEVELOPMENT (CDBG)

(Due to space and formatting limitations please see attached CDBG Appendix III. (PER Report and PR 28)

NARRATIVE 14 - ECONOMIC DEVELOPMENT (CDBG)

The economy in the state of Utah has outperformed the rest of the nation in recovering from the recession of Dec 2007 – June 2009. The unemployment rate of 4.6% in 2013 was much better than the national average of 7.35%. However, the labor force participation rate of 68% has not recovered from the rate of 72% prior to the Great Recession.

The state has several RLF funds that were capitalized with CDBG funds. All but two of these have been able to cut their federal ties over the years, but most are still active and creating jobs. The Mountainland (MAG) RLF is the newest.

The revolving loan fund is structured as a gap financing mechanism, in that the program requires a partnership with commercial lending institutions in order to maintain compliance with EDA requirements.

NARRATIVE 15 - ENERGY EFFICIENCY

HCD continues to require ENERGY STAR qualification or a comparable HERS threshold for all projects receiving OWHLF funds. During FY13-14, HCD's tally of units funded for construction or rehabilitation to ENERGY STAR qualifying levels totaled 4,616 units, compared to a total of 4,078 units for FY12-13.

NARRATIVE 16 - SUSTAINABILITY AND GREEN PROJECTS

HCD continued to work with the Olene Walker Housing Loan Fund Board and the Utah Housing Corporation (the tax credit administering agency for Utah) to implement preferential scoring for projects that either meet the LEED or Enterprise Green Communities criteria. For the fall 2012 tax credit round of applications, HCD was able to fund seven multifamily projects – all meeting a green building criteria. One project will meet LEED criteria while five will meet the Enterprise standard.

During the CAPER program year, HCD provided or facilitated three training sessions on green criteria and construction.

In 2013-14 HCD also worked with USDA Rural Development, Color County Community Housing, and the Rural Community Assistance Corporation (RCAC) to complete development of a single family green criteria suitable for rural housing – homes not suitable for either the LEED or the Enterprise criteria.

During 2013, HCD also participate in the HUD-funded local partnership that supports the Wasatch 2040 planning initiative. Under the partnership, various transit oriented developments have been identified for possible creation and expansion including opportunities for mixed use, mixed income, and affordable developments.

NARRATIVE 17 - SECTION 3

The state Section 3 report can be found in Appendix III

During FY 13-14 HCD staff have continued to promote Section 3. HCD has coordinated with other Utah-based PJ's and the Utah Division of Occupational and Professional Licensing during create a HCD contractor registry. With the registry, HCD has solicited information from 14,000 Utah-based contractors to identify eligible Section 3 contractors. There has been a low response rate, however the registry is growing. The registry does allow businesses to self register as Section 3 businesses. Also a form has been created for businesses to use to identify section 3 residents among their current or prospective employees.

Documents from HCD that commit funds have included reference to Section 3 requirements, including the annual Section 3 report for CDBG funded activities. HCD's Section 3 page can be found at: <http://jobs.utah.gov/housing/section3/hudsection3.html>

NARRATIVE 18 – EMERGENCY PREPAREDNESS

During the 2013-14 program year, HCD refined Emergency Planning documents that were distributed to housing property managers and owners state-wide. Staff presented the planning documents at several forums to encourage property managers to complete emergency plans for each of their properties and implement those plans with residents. Additionally, HCD staff attended a property managers retreat in which staff assisted over 20 property managers in creating emergency plans for their respective properties. HCD will monitor its portfolio of properties during the course of regularly schedule compliance monitoring to ensure that property managers progress in their emergency planning efforts. HCD coordinating this initiative with the Utah Office of

Homeland Security, regional HUD and FEMA offices, and a local emergency housing task force. HCD also has lead responsibility for housing planning related to FEMA's "National Disaster Recovery Framework" and participates in emergency exercises that have occurred in 2012 to test Utah's disaster responsiveness.

For additional information regarding our efforts please see our state annual reports found at:
http://jobs.utah.gov/housing/publications/annual_reports.html

Other Attachments and Narratives

Utah CAPER 2011-12

Appendix I – 2013-14 Point in time Count Data and Analysis

Appendix II – HOME Specific Reports

- HUD Section 3 Report Form 60002
- HUD Form 40107 Business Enterprises and Women's Business Enterprises
- HUD Form 40107-A State Match Report
- Results of on-site inspections of affordable rental housing
- List of proposed actions from Utah's Analysis of Impediments

Appendix III– CDBG Materials

- PER reports
- PR 28

Appendix IV – ESG IDIS Report

Appendix V Copy of the Notice of Public Hearing

- Public comments received

APPENDIX I 2013-14 HOMELESSNESS IN UTAH DATA

5/15/2014		2014 SL	2014 BOS CoC	2014 MTL	2014 State	2013 State	Percentage	Notes for 2014 Count
Head Count		CoC/LHCC Total	Total	LHCC/CoC Total	Total	Total	Change	
Sheltered	Family of Adult and Minor	813	347	68	1,228	1,266	-3.0%	If you took away the 24 from safe haven II you would have 2744
	Number of persons (under age 18)	506	224	46	776	816	-4.9%	
	Number of persons (age 18-24)	56	33	3	92	84	9.5%	
	Number of persons (over age 24)	251	90	19	360	366	-1.6%	
	Households Only Children	2	1	0	3	12	-75.0%	
	Households No Children	1,225	266	46	1,537	1,581	-2.8%	
	Number of persons (age 18-24)	52	31	6	89	98	-9.2%	
	Number of persons (over age 24)	1,173	235	40	1,448	1,483	-2.4%	
	Total	2040	614	114	2,768	2,859	-3.2%	
Unsheltered (PNMH)	Family of Adult and Minor	5	114	5	124	52	138.5%	
	Number of persons (under age 18)	3	95	3	101	29	248.3%	
	Number of persons (age 18-24)	-	6	0	6	8	-25.0%	
	Number of persons (over age 24)	2	13	2	17	15	13.3%	
	Households Only Children	-	-	0	-	1	-100.0%	
	Households No Children	105	54	30	189	361	-47.6%	
	Number of persons (age 18-24)	16	3	1	20	29	-31.0%	
	Number of persons (over age 24)	89	51	29	169	332	-49.1%	
	Total	110	168	35	313	414	-24.4%	
Total	Family of Adult and Minor	818	461	73	1,352	1,318	2.6%	if you took away the 24 from safe haven II you would have 3057
	Number of persons (under age 18)	509	319	49	877	845	3.8%	
	Number of persons (age 18-24)	56	39	3	98	92	6.5%	
	Number of persons (over age 24)	253	103	21	377	381	-1.0%	
	Households Only Children	2	1	0	3	13	-76.9%	
	Households No Children	1,330	320	76	1,726	1,942	-11.1%	
	Number of persons (age 18-24)	68	34	7	109	127	-14.2%	
	Number of persons (over age 24)	1,262	286	69	1,617	1,815	-10.9%	
	Total	2150	782	149	3,081	3,273	-5.9%	
Households		2014 SL	2014 BOS CoC	2014 MTL	2014 State	2013 State	Percentage	
		CoC/LHCC Total	Total	LHCC/CoC Total	Total	Total	Change	
Sheltered	Family of Adult and Minor	249	110	21	380	391	-2.8%	
	Households Only Children	2	1	0	3	12	-75.0%	
	Households No Children	1,218	262	45	1,525	1,574	-3.1%	
	Total	1469	373	66	1,908	1,977	-3.5%	
Unsheltered (PNMH)	Family of Adult and Minor	1	16	1	18	15	20.0%	
	Households Only Children	-	-	0	-	1	-100.0%	
	Households No Children	99	51	26	176	338	-47.9%	
	Total	100	67	27	194	354	-45.2%	
Total	Family of Adult and Minor	250	126	22	398	406	-2.0%	
	Households Only Children	2	1	0	3	13	-76.9%	
	Households No Children	1,317	313	71	1,701	1,912	-11.0%	
	Total	1569	440	93	2,102	2,331	-9.8%	

		2014 SL	2014 BOS CoC	2014 MTL	2014 State	2013 State	Percentage	
Subpopulations		CoC/LHCC Total	Total	LHCC/CoC Total	Total	Total	Change	
Sheltered	Chronically Homeless	310	31	5	346	268	29.1%	includes 45 individuals from Safe Haven, if they were taken out it would be 301
	Chronically Homeless Families	11	2	0	13	24	-45.8%	
	Chronically Homeless Veterans	33	1	0	34	23	47.8%	
	Veterans	260	31	2	293	289	1.4%	
	Female Veterans	11	-	1	12	17	-29.4%	
	Mental Illness	568	76	6	650	634	2.5%	
	Substance Abuse	511	73	4	588	650	-9.5%	
	HIV/AIDS	49	3	0	52	52	0.0%	
	Domestic Violence (Adults)	466	171	23	660	512	28.9%	
	Domestic Violence (All)	658	319	53	1030	875	17.7%	
Unsheltered (PNMH)	Chronically Homeless	25	14	1	40	76	-47.4%	
	Chronically Homeless Families	1	-	2	3	11	-72.7%	
	Chronically Homeless Veterans	3	3	0	6	9	-33.3%	
	Veterans	15	5	4	24	37	-35.1%	
	Female Veterans	3	-	0	3	0	#DIV/0!	
	Mental Illness	58	24	14	96	127	-24.4%	
	Substance Abuse	67	19	19	105	127	-17.3%	
	HIV/AIDS	-	-	0	0	2	-100.0%	
	Domestic Violence	43	10	8	61	62	-1.6%	
	Domestic Violence (All)	43	10	8	61	64	-4.7%	
Totals	Chronically Homeless	335	45	6	386	344	12.2%	Both '13 and '14 include Safe Haven Numbers, if they were taken out '14 would be 341
	Chronically Homeless Families	12	2	2	16	35	-54.3%	
	Chronically Homeless Veterans	36	4	0	40	32	25.0%	
	Veterans	275	36	6	317	326	-2.8%	
	Female Veterans	14	0	1	15	5	200.0%	
	Mental Illness	626	100	20	746	761	-2.0%	
	Substance Abuse	578	92	23	693	777	-10.8%	
	HIV/AIDS	49	3	0	52	54	-3.7%	
	Domestic Violence	509	181	31	721	574	25.6%	
	Domestic Violence (All)	701	329	61	1091	939	16.2%	

APPENDIX II HOME SPECIFIC FORMS

Section 3 Form 60002

Section 3 Summary Report

Economic Opportunities for Low- and Very-Low-Income Persons

Section back of page for Public Reporting Burden statement

U. S. Department of Housing
and Urban Development
Office of Fair Housing And
Equal Opportunity

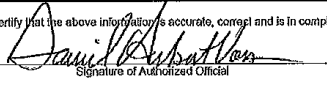
OMB Approval No:2529-0048

(exp. 11/30/2010)

HUD Field Office:

1. Recipient Name & Address: (street, city, state, zip) State of Utah - Department of Workforce Services - Housing and Community Development Division 1385 South State Street, Fourth Floor Salt Lake City, Utah 84115-5403	2. Federal Identification: (contract/award no.) M-45-SC49-0100	3. Total Amount of Award \$3,000,000.00			
	4. Contact Person Daniel Herbert Voss	5. Phone: (include area code) 801-468-0042			
	6. Length of Grant 1 year	7. Reporting Period July 1, 2013 - June 30, 2014			
	8. Date Report Submitted: 7/29/2014	9. Program Code: (Use separate sheet for each program code) 6 - HOME State Administered			
10. Program Name: HOME					
Part I: Employment and Training ("Columns B, C, and F are mandatory fields. Include New Hires in E & F")					
A Job Category	B Number of New Hires	C Number of New Hires that are Sec. 3 Residents	D % of Aggregate Number of Staff Hours of New Hires that are Sec. 3 Residents	E % of Total Staff Hours for Section 3 Employees and Trainees	F Number of Section 3 Trainees
Professional	0	0	0%	0%	0
Technical	2	0	0%	0%	0
Office	4	0	0%	0%	0
Construction	1	0	0%	0%	0
Laborer	1	0	0%	0%	0
Drywall	0	0	0%	0%	0
Trade	0	0	0%	0%	0
Plumber	0	0	0%	0%	0
Trade	0	0	0%	0%	0
Engineer	0	0	0%	0%	0
Total	7	0	0%	0%	0
Public/Indian Housing	0	0	0%	0%	0
Development	0	0	0%	0%	0
Operation	0	0	0%	0%	0
Modernization	0	0	0%	0%	0
Homeless Assistance	0	0	0%	0%	0
HOME	0	0	0%	0%	0
HOME State Administered	0	0	0%	0%	0
CDBG State Administered	0	0	0%	0%	0
Other CDBG Programs	0	0	0%	0%	0
Other Housing Programs	0	0	0%	0%	0
Total	7	0	0%	0%	0

*Program Codes 1=Flexible Subsidy 2=Section 202/611	3=Public/Indian Housing A=Development B=Operation C=Modernization	4=Homeless Assistance 5=HOME 6=HOME State Administered 7=CDBG EnPIment	8=CDBG State Administered 9=Other CDBG Programs 10=Other Housing Programs
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Part II: Contracts Awarded	
1. Construction Contracts:	
A. Total dollar amount of all contract awarded on the project	\$34,499,208.93
B. Total dollar amount of contracts awarded to Section 3 businesses	\$28,595.00
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0%
D. Total number of Section 3 businesses receiving contracts	2
2. Non-Construction Contracts:	
A. Total dollar amount all non-construction contracts awarded on the project/activity	\$3,350,751.00
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$0.00
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0%
D. Total number of Section 3 businesses receiving non-construction	0
<p>Part III: Summary</p> <p>Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)</p> <p><input checked="" type="checkbox"/> Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.</p> <p><input type="checkbox"/> Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.</p> <p><input type="checkbox"/> Coordinated with Youth build Programs administered in the metropolitan area in which the Section 3 covered project is located.</p> <p><input type="checkbox"/> Other: describe below</p>	
<p>Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.</p> <p>Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensure that employment and other economic opportunities generated by its housing and community development assistance programs as directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(a)(8) of the Fair Housing Act and Section 916 of the HUDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.</p>	
<p>I Herby Certify that the above information is accurate, correct and is in compliance with Section 3 regulations and statutory requirements.</p> <p> Signature of Authorized Official</p> <p><u>7/28/2014</u> Date Signed</p> <p><u>Daniel Herbert-Voss</u> Prepared by:</p> <p><u>Daniel Herbert-Voss, Multifamily Housing Program Specialist</u> Typed Name and Title of Authorized Official</p> <p><u>801-469-0042</u> Preparer's telephone No.</p>	

Annual Performance Report HOME Program

U.S. Department of Housing
and Urban Development
Office of Community Planning
and Development

OMB Approval No. 2506-0171
(exp. 8/31/2009)

Public reporting burden for this collection of information is estimated to average 2.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

This form is intended to collect numeric data to be aggregated nationally as a complement to data collected through the Cash and Management Information (C/MI) System. Participants should enter the reporting period in the first block. The reporting period is October 1 to September 30. Instructions are included for each section if further explanation is needed.

Submit this form on or before December 31.	This report is for period (mm/dd/yyyy)		Date Submitted (mm/dd/yyyy)
Send one copy to the appropriate HUD Field Office and one copy to: HOME Program, Rm 7176, 451 7th Street, S.W., Washington D.C. 20410	Starting 07/01/2013	Ending 06/31/2014	07/29/2014

Part I Participant Identification

1. Participant Number 490100	2. Participant Name State of Utah – Department of Workforce Services – Housing and Community Development Division		
3. Name of Person completing this report Daniel Herbert-Voss		4. Phone Number (Include Area Code) 801-468-0042	
5. Address 1385 South State Street	6. City Salt Lake City	7. State Utah	8. Zip Code 84115-5403

Part II Program Income

Enter the following program income amounts for the reporting period: in block 1, enter the balance on hand at the beginning; in block 2, enter the amount generated; in block 3, enter the amount expended; and in block 4, enter the amount for Tenant-Based rental Assistance.

1. Balance on hand at Beginning of Reporting Period	2. Amount received during Reporting Period	3. Total amount expended during Reporting Period	4. Amount expended for Tenant-Based Rental Assistance	5. Balance on hand at end of Reporting Period (1 + 2 - 3) = 5
\$8,881,027.77	\$5,185,828.24	\$6,515,307.97	\$0	\$7,551,548.04

Part III Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)

In the table below, indicate the number and dollar value of contracts for HOME projects completed during the reporting period.

	a. Total	Minority Business Enterprises (MBE)				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
A. Contracts						
1. Number	0	0	0	0	0	0
2. Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0
B. Sub-Contracts						
1. Number	117	0	0	0	3	114
2. Dollar Amount	\$15,438,269.4	\$0	\$0	\$0	\$138,631.50	\$15,348,269.96
	a. Total	b. Women Business Enterprises (WBE)	c. Male			
C. Contracts						
1. Number	43	1	42			
2. Dollar Amount	\$9,100,000.00	\$30,000.00	\$9,907,000.00			
D. Sub-Contracts						
1. Number	75	4	71			
2. Dollar Amounts	\$6,456,901.46	\$153,610.00	\$6,303,291.46			

Part IV Minority Owners of Rental Property

In the table below, indicate the number of HOME assisted rental property owners and the total dollar amount of HOME funds in these rental properties assisted during the reporting period.

	a. Total	Minority Property Owners				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
1. Number	0	0	0	0	0	0
2. Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0

Part V Relocation and Real Property Acquisition

Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition. The data provided should reflect only displacements and acquisitions occurring during the reporting period.

	a. Number	b. Cost				
1. Parcels Acquired	2	\$2,150,000.00				
2. Businesses Displaced	0	\$0				
3. Nonprofit Organizations Displaced	0	\$0				
4. Households Temporarily Relocated, not Displaced	8	\$11,750.00				
	a. Total	Minority Business Enterprises (MBE)				f. White Non-Hispanic
Households Displaced		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
5. Households Displaced - Number	1	0	0	0	0	1
6. Households Displaced - Cost	\$1,500.00	\$0	\$0	\$0	\$0	\$1,500.00

HOME Match Report

U.S. Department of Housing and Urban Development
Office of Community Planning and Development

OMB Approval No. 2506-0171
(exp. 12/31/2012)

Part I Participant Identification

Match Contributions for Federal Fiscal Year (yyyy)	2013
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1. Participant No. (assigned by HUD) 490100	2. Name of the Participating Jurisdiction State of Utah – Dept of Workforce Services – Housing and Comm Development Division		3. Name of Contact (person completing this report) Daniel Herbert - Voss
5. Street Address of the Participating Jurisdiction 1385 South State Street, Fourth Floor			4. Contact's Phone Number (include area code) 801-468-0042
6. City Salt Lake City	7. State Utah	8. Zip Code 84115-5403	

Part II Fiscal Year Summary

1. Excess match from prior Federal fiscal year	\$	2,024,725.44	
2. Match contributed during current Federal fiscal year (see Part III.9.)	\$	940,000.00	
3. Total match available for current Federal fiscal year (line 1 + line 2)			\$ 2,964,725.44
4. Match liability for current Federal fiscal year			\$ 432,821.00
5. Excess match carried over to next Federal fiscal year (line 3 minus line 4)			\$ 2,531,904.44

Part III Match Contribution for the Federal Fiscal Year

[illegible]

Monitoring Reports for 2013

HOME Compliance Monitoring Report

The following report is a summary of HCDD HOME compliance monitoring activities during the **First, Second, Third and Fourth Quarters of 2013**. This report summarizes the number of HOME compliance monitoring visits conducted, and tracking of set-aside unit occupancy. The results of our visits indicate that monitored housing in the State of Utah continues to be in compliance with HOME regulations.

HOME Compliance Monitoring Visits

OWHLF staff conducted **171** HOME compliance monitoring visits between February and December 2013. Each monitoring visit is concluded as staff document and follow-up on compliance issues to ensure that our HOME-funded properties remain in-compliance with HOME regulations.

Tracking Set-aside Units

We also tracked occupancy of set-aside units in each project that has been monitored to-date. The table below summarizes occupancy rates of these units:

Set-aside Type	# of Total Units	# of Units Occupied	% of Total Units that are Occupied
Disability Accessible (ADA)	324	267	82%
Mentally Ill (MI)	180	152	84%
Developmentally Delayed (DD)	108	100	93%
Domestic Violence (DV)	118	95	81%
Elderly (ELD)	1908	1794	94%
Homeless (HOM)	680	562	83%
AIDS victims (HOPWA)	52	38	73%
Transitional Housing (TRANS)	214	131	61%

HOME compliance exception tracking

All properties have some level physical exception. For example, missing or nonfunctional Light bulbs, smoke detectors, garbage disposals, window blinds, switch & outlet covers can be fixed or replaced to avoid an Exception. Hazardous conditions like fire hazards or blocked egress can also be fixed during monitoring. The following Exceptions were found and not repaired during the monitoring.

- **File Exception** - Kier Management need to update HUD Affirmative marketing plans on several properties with 5 or more HOME units.
- **File Exception** - Utah Non Profit needs to update HUD affirmative marketing plans on several properties with 5 or more HOME units.
- **Physical Exception** - Housing Authority of the County of Salt Lake (Frontier Trans) Fascia and Rain Gutter repair
- **Physical Exception** - Utah Non Profit (Glendale Senior) Rain Gutter repair
- **Physical Exception** - Utah Non Profit (Stonehedge II) Bathroom sink replacement
- **Physical Exceptions** – Sellers Management (Crown Village) Kitchen Light Ballast & Bathroom Fan
- **File Exception** – LaPorte Management need to show proper income verification for tenants in files.
- **Physical Exceptions** – Laporte Management (Elmhurst) Bathroom toilet & Bathroom sink
- **Physical Exception** – Horizon Management (Cottonwood Grove) Kitchen light ballast
- **Physical Exception** – Sellers management (Ashfield) Kitchen faucet missing

Appendix III Analysis of Impediments to Fair Housing

The following table illustrates how and when HCD will accomplish its' goals to Affirmatively Further Fair Housing in Utah:

Proposed Actions 2010-2015 to Affirmatively Further Fair Housing (Proposed metrics by quarter beginning April 1, 2010)		
Goal	Performed By	Time Period
<p>1. HCD will work with the Utah Apartment Association to increase attendance at the annual Utah Fair Housing Conference by increasing press coverage, targeted mailings, and other publicity to realtors, lenders, developers, housing agencies, landlords, residents, local officials, and minority and special needs advocates, etc.</p> <p>Protected class assisted: All</p>	HCD UHC UAA	<p>Annually – 1st Quarter</p> <p>April 27,2011 April 18,2012 2013 – TBD 2014 – TBD 2015 - TBD</p>
<p>2. HCD (along with Utah Housing Coalition) will facilitate annual training for the 2-1-1 call-in system operators to better inform callers about Fair Housing and referring claimants to appropriate cognizant agencies. Provide 2-1-1 with program information.</p> <p>Protected class assisted: All</p>	HCD UHC United Way 2-1-1	Annually – 2 nd Quarter
<p>3. HCD will produce and prominently display signage for project sites to provide notice of Fair Housing (Equal Opportunity Housing Logo) to attract potential renters (or homeowners in projects with home ownership) from minority and non-minority groups regardless of protected class.</p> <p>Protected class assisted: All</p>	HCD Property Mgmt	Quarterly – Ongoing
<p>4. Monitoring staff will participate in HCD sponsored annual Fair Housing trainings from local HUD staff to better monitor compliance at OWHLF-funded housing projects. Developers, agencies, and managers will be invited to attend.</p> <p>Protected class assisted: All</p>	HCD - Staff HUD	Annually – 3 rd Quarter
<p>5. HCD will ensure that contracts and award letters alert recipients of Fair Housing requirements using a separate information sheet with reference to applicable rules, regulations, and HUD contact information.</p> <p>Protected class assisted: All</p>	HCD, Staff OWHLF Board	Quarterly – Ongoing Quarterly Board Meetings

<p>6. HCD will utilize new review criteria for (city and county) housing plans to insure that each plan includes an assessment of regulatory barriers and local laws affecting location, availability, and accessibility of housing; conditions affecting Fair Housing Choice for all protected classes; and a survey of availability of affordable, accessible housing in various unit sizes. Provide each community with the HUD checklist, "Questionnaire for HUD's initiative of Removal of Regulatory Barriers." HCD will train each rural community on assessing their own regulatory barriers to Fair Housing.</p> <p>Protected class assisted: All</p>	HCD Municipalities	Quarterly – Ongoing
<p>7. As part of the annual update to the Consolidated Plan, HCD will work with the AOG Planners to conduct an annual review of housing statewide to ascertain shortfalls in location, availability, and accessibility in a range of unit sizes (per HUD Table 2A).</p> <p>Protected class assisted: All</p>	HCD AOGs	Annually – 1 st Quarter
<p>8. HCD will continue to maintain the "Housing Needs Database" website (see http://findhousing.utah.gov) to provide information about available units including accessible, subsidized units (including those properties accepting vouchers) and special needs units to disabled, low income, and minority populations.</p> <p>Protected class assisted: All</p>	HCD Utah DTS	Quarterly – Ongoing
<p>9. HCD's underwriting of multifamily project developments will continue to consider the integration of affordable housing throughout each community's geography and throughout the state so not to confine developments to existing lower income neighborhoods and also avoid concentrations of race and disability.</p> <p>Protected class assisted: All</p>	HCD OWHLF Board	Quarterly – OWHLF Board Meetings
<p>10. Continue to participate in state-wide forums for housing such as the Utah Housing Coalition that includes advocates for special needs populations. In partnering with these other organizations, HCD is able to better understand and assist in a number of Fair Housing related issues including: refugee housing, disposition and repairs for public housing, assistance to troubled housing authorities, homeownership in lower income areas, housing for the homeless, eviction and foreclosure prevention, housing for those previously incarcerated, youth in transition, and housing for the disabled and elderly.</p> <p>Protected class assisted: All</p>	HCD Utah Housing Corp. HUD NAHRO Utah Housing Coalition Others	Quarterly - Ongoing
<p>11. HCD will incorporate into monitoring procedures and checklists a verification that project managers are Affirmatively Furthering Fair Housing, assess what percentage of occupancy is utilized by protected classes (disability, familial status) through set-aside tracking, verify that Fair Housing signage is adequately displayed, and that efforts are ongoing to market to residents from certain protected classes (to fill the corresponding set-aside). A formal set-aside policy is included in the OWHLF Program Rules and Guidelines to ensure existing set-aside units are being filled with the appropriate demographic.</p> <p>Protected class assisted: Disability, Familial Status, Etc.</p>	HCD Property Mgmt.	Quarterly – Ongoing • Compliance monitoring of each project occurs on an annual, biennial, triennial basis (depending on size)

<p>12. Work with institutional structures (organizations representing minority and special needs populations) to provide disproportionately greater funding for housing unit production and rehabilitation to these populations and their geographic areas.</p> <p>Protected class assisted: All</p>	<p>HCD Utah Housing Corp. SLCAP Utah CAP Advocate Groups Others</p>	<p>Quarterly – Ongoing</p>
<p>13. HCD's use of HUD funds will provide outreach for funded activities to minorities, women, and businesses owned by minorities and women, including real estate firms, construction firms, appraisal firms, management firms, underwriters, accountants, and providers of legal services. Section 3 guidelines will also be followed and maintained. A Section 3 database will be created and maintained to better provide outreach to affected classes.</p> <p>Protected class assisted: Race, Gender, National Origin</p>	<p>HCD HUD Developers</p>	<p>Quarterly – Ongoing</p>

(The full analysis of impediments can be found at
<http://jobs.utah.gov/housing/owhlf/reports.html>)

APPENDIX III CDBG PERFORMANCE AND EVALUATION REPORT (PER) & PR28

Reporting Period Covered: Program Year 2013 (year ending June 30, 2014)

Introduction & Executive Summary

The Utah Housing and Community Development Division (HCD) is responsible for preparing Utah's Five Year Consolidated Plan, annual action plans and updates, and the Consolidated Annual Performance and Evaluation Report (CAPER). In this role, HCD relies on seven regional planning agencies not only for development of the Utah Consolidated Plan, but for performance indicators and reporting for the CAPER. There is an excellent working relationship between the State of Utah and the seven regional planning agencies. That relationship allows for coordination and levels of consistency between local, regional, and state priorities – Utah is structured so that local data, needs, and priorities roll up to regional plans which roll up to the Utah Consolidated Plan. In the same way, many of the performance indicators and accomplishments also roll up. Based upon this process, HCD believes that the outcomes, priorities, funding, and performance indicators of the State of Utah Non-Entitlement Consolidated Plan (including updates and action plans) represent the preferences and accomplishments of local counties and cities. Based on these findings, current funding levels and our experiences the state would not change the program at this time.

Performance reported within this CAPER is based upon HUD's Integrated Disbursement Information System (IDIS) reported data plus leveraged accomplishments for the four programs. The outline used to prepare this report parallels HUD's "CAPER Completeness Review" checklist dated 2006 and CPD Notice 11-03.

The state is completing year #4 of 5 of the 2010-15 consolidated plan. This is the report for progress made to June 30, 2014. The state program year is July 1, 2013 to June 30, 2014. The State of Utah has prepared the financial summaries for B-10-DC-490001, B-11-DC-490001, and B-12-DC-49001 and B-13-DC-49001 in the reporting module in IDIS. Even though the guidance in Notice CPD-11-03 indicates that HUD staff will generate Financial Summaries directly from IDIS, we are submitting a paper copy of these summaries with the Part II narrative because of the following:

The PER reporting module only allows a parameter entry for line 59 "Period specified for benefit: grant years". The State of Utah has communicated to the State & Small Cities Office the concern that there is no 'parameter' function in IDIS in which to enter the program year (07/01/2013 – 06/30/2014) that would generate the required data. Instead IDIS generates data as of the date the report is *generated*. So, although efforts are made to post draws to the correct program year, they are not reflected in IDIS as being 2013 expenditures. The adjustments made to the financial summaries for B-10, B-11, B-12 and B-13 will reflect data as of 6/30/14 for each award (printed 8/30/14).

The CAPER is made available to state and local government agencies, Utah Legislature, funding partners, auditors and HUD. The State of Utah intends this performance and evaluation information to be widely distributed so that the public can appreciate how funds benefit and improve their communities. Comments from the public are invited through a 30-day comment period and public hearing process in accordance with Utah's Open Public Meeting Laws, our public participation plan and all equal opportunity and ADA laws. This report is being submitted to verify consistency with the priorities of the 2010 Consolidated Plan of the State of Utah update and action plan.

Assessment of Relationship of CDBG funds to Goals and Objectives (CDBG)

Resources Available

During the 2013 program year, the State of Utah received funding for all of the HUD entitlement programs. Per the July 1, 2013 award letter, the state received CDBG \$4,502,609. The \$4,502,609 received from HUD was distributed in a manner consistent with the method outlined in the Application, Policies and Procedures Manual (<http://housing.utah.gov/cdbg/applications.html>). The only other source of income in the CDBG program is program income (PI). In 2013 there was no PI receipted. Program income is distributed per the state's standard method of distribution as described in the 2010 Consolidated Plan Update and Action Plan and the "2013 CDBG Application, Policies and Procedures" manual. Program income from HUD revolving loan funds is retained at the local level and used to perpetuate the purpose for which it was originally granted, including all applicable rules and regulations. Otherwise, all program income generated at the local level is spent immediately upon receipt so there is no program income on hand at the end of the program year. Currently there are no deferred or forgivable loans.

Investment of HUD CDBG Resources

The goal for the Consolidated Plan is to match funding sources, such as CDBG, to local needs. The same process applies with regard to Economic Development. CDBG plays a significant role in both of these state efforts and has a consistent and growing housing component, as well. As identified earlier the state certifies that it meets the 70% LMI benefit for the past one, three and five year period. The Utah CDBG program uses CDBG funds for the highest priority needs as determined by the applicant jurisdictions and reflected in their respective capital investment plans and the regional rating and ranking processes. HCD believes that the state system of utilizing regional review committees creates a greater level of local public involvement and better meets public need. This greatly increases the number and diversity of public comments at hearings. AOG representatives also visit with every jurisdiction in the state, assist in preparing local plans, and aid in integration and consideration of HUD funding sources and uses.

Adjustments

Narrative regarding adjustments to the B-10, B-11, B-12, and B-13 program years are attached to the financial report IDIS – PR28.

Leveraging Resources

The CDBG program does not have a required funding match. And, in the case of small communities, no additional funding is readily available. Davis/Bacon requirements are often a deterrent to using CDBG in conjunction with other funds. Many other funding agencies in the state, as well as the federal Rural Development Agency (RDA), do not require Davis/Bacon. The local governments do not want the additional costs and the administrative burden that go along with compliance with Davis/Bacon. Despite these obstacles, there are additional funds brought into CDBG projects in order to complete the projects and maximize the points received in the rating and ranking process. The leveraged funds originate from a variety of sources including federal, local, and some private dollars. Most of the regional rating and ranking processes give points for local contributions and leveraged funding. The amount of leverage brought into CDBG funded projects for 2013 was \$1,052,777.

CDBG Priorities

In year 4 of 5 of the plan the CDBG funds were used consistent with CD priorities. As Attachment 2C notes, the highest and best use of CDBG funding in 2013 was affordable housing, water/waste water projects and other public facilities. The public facilities component includes different kinds of centers such as community and senior centers.

Utah's housing crisis is stabilizing but affordability remains a major concern and is reflected in the emphasis of using CDBG funds for those housing projects that address affordability and sustainability.

Utah's applicants use the flexibility of the program very effectively in meeting the needs of the low and moderate-income persons in the state. For comparison purposes the 2009 through 2013 years are compared in the table below. As demonstrated, the uses have remained fairly consistent year after year. The housing projects accomplished with CDBG funds were primarily single-family rehabilitation and home buyer assistance. As housing affordability becomes a larger issue, HCD foresees more HUD funding, including CDBG, going towards housing.

Allocation Table of CDBG Funding by Use

	2009	2010	2011	2012	2013
Admin/Planning/TA	9%	9%	7%	9%	11%
Economic Development	7%	2%	1%	2%	1%
Housing	27%	41%	40%	35%	47%
Public Facilities	55%	46%	37%	52%	39%
Public Service	2%	2%	0%	1%	2%
Other	0%	0%	15%	1%	0%

The above chart reflects the HUD categories as recorded in IDIS. In the CDBG program, approximately 47% of state funding is spent on housing related programs.

All assisted families have low or moderate incomes. As communities continue to assess their moderate income housing needs and refine their affordable housing plans, it is hoped that they will look to CDBG and the State Olene Walker Housing Fund for solutions. Most community leaders realize the need for affordable housing alternatives as reflected in regional priorities and applications for housing projects, however, water/sewer projects, especially in the very small communities, remain a high priority also.

CDBG fund expenditures are in accordance with the Consolidated Plan. There were no slum and blight projects funded in 2013. The State of Utah is well within the 70% rule of benefiting low to moderate income persons, with all projects benefiting LMI persons.

In 2013, HCD was less successful in leveraging funding from local governments and other federal and state programs for community development projects. The actual amount was \$.25 dollars in other funding to each HUD CDBG dollar.

CDBG Homeless Services

State CDBG funds are seldom used for direct homeless prevention activities. The State has opted, instead, to support "Rapid Re-Housing" as a more successful approach rather than emergency rental/utility payments. As stated in this Consolidated Plan, the elimination of chronic homelessness is a top priority for HCD and CDBG is a recommended resource to assist in solving this crises.

Performance Measures Proposed Versus Actual Outcomes

CDBG performance measures are included in the 2010 -15 Consolidated Plan and are recorded in the HUD IDIS reporting system comparing the proposed versus actual outcomes for each outcome measure. The Utah State CDBG program substantially matched its proposed outcomes with actual outcomes for the 2013 program year. Analysis of these outcomes results in no changes to the current program. See the CAPER table 2C for a full accounting of actual versus proposed outcomes. Unlike the entitlement programs, the State Small Cities program does not determine final project selection (this is done at the local government level) making it impossible to forecast accurately the distribution of funds. Due to this fact the state came up short on several goals. Some sub categories underperform while other sub categories over perform, in total most categorical goals are exceeded but this results in few that underperform expectations. In program year 2013, there was only one small project awarded that was exclusively for planning.

CDBG and Economic Development

The economy in the state of Utah has outperformed the rest of the nation in recovering from the recession of Dec 2007 – June 2009. The unemployment rate of 4.6% in 2013 was much better than the national average of 7.35%. However, the labor force participation rate of 68% has not recovered from the rate of 72% prior to the Great Recession.

The state has several RLF funds that were capitalized with CDBG funds. All but two of these have been able to cut their federal ties over the years, but most are still active and creating jobs. The Mountainland (MAG) RLF was capitalized in 2009 and has been very successful. For the 2013 program year:

10 loans closed

\$482,008 loaned

\$215,000 private sector funds leveraged

The revolving loan fund is structured as a gap financing mechanism, in that the program requires a partnership with commercial lending institutions in order to maintain compliance with EDA requirements. The Mountainland region is thrilled with the success of their new program and the demand for loans is high.

Persons assisted through CDBG

All beneficiaries are ultimately recorded in IDIS at the time of project closeout. Of the families and persons assisted with CDBG funds: 10% are of races/ethnicities other than Caucasian. 7% are Latino, 2% are African American, 1% Pacific Islander, and 2% are Native American.

Lead Based Paint Hazards

The State of Utah has estimated that there are 75,000 homes in the non-entitlement areas that were constructed prior to 1978. Of these homes, an estimated 20,000 are most likely to have some level of lead based paint hazards. It is expected that 12,000 of these homes are occupied by low or moderate-income persons. These homes are occupied primarily by elderly persons, many of whom are retired and living on fixed incomes. The state continues to encourage partnerships between the Weatherization, CDBG, and HOME programs so that trained staff is available in each of the seven regions to test pre-1978 homes of low income persons as a part of any housing rehabilitation activity. The challenges continue to be limited funding and a large rural geographic area to cover (80,000 square miles)

Anti-Displacement

It is the policy of the State of Utah CDBG program to not allow projects that will displace families, persons or businesses due to acquisition, rehabilitation or demolition of occupied real property. In the event temporary displacement does occur the state will strictly adhere to the 1970 Uniform Relocation Assistance and Real Property Acquisition Act.

Geographic Distribution

Due to the nature of the CDBG method of distribution in the Utah program, the entire 2013 allocation was committed in advance of the beginning of the program year that began July 1, 2013. Many of the projects are already complete and others are well underway. The contracts with state grantees are 18 months long and terminate in December 2012.

The application process actually begins several months prior to the award date from HUD. The CDBG method of distribution requires the application process to begin in October with 13 different "How to Apply" meetings geographically distributed throughout Utah. The meetings for the 2013 program year were actually held in the fall of 2012. The applications were submitted by February 1, 2013, and rating and ranking occurred in March/April of 2013. HUD actually awarded the funding to successful applicants in April although the program year does not begin until July. All funding is awarded at one time. Contracts were issued following the mandatory grantee training held in May of 2013.

All eligible local government agencies receive notice of funding solicitations by the regional association of government. Selection of projects within each region is based upon criteria including the consistency of the project to the goals and objectives of the area consolidated plan. All regions receive a portion of the state-wide HUD funding allocation. Funds are first allocated with a block of funds made available to each region. By distributing the funds regionally, HCD can guarantee that all areas of the state have opportunities for projects. The block of money has a base allocation of \$300,000. The remainder of the funding is allocated on a per-capita basis. Each region has its own rating and ranking process, updated and modified annually and approved by the state. The state mandates eight criteria and the regions are free to add additional criteria as approved by their regional committee. Each system is subject to public participation requirements. Approved projects are sent to the state for threshold eligibility and national objective compliance clearance.

Each region is permitted a maximum of 15% of the region's allocation for administration and planning activities. As demonstrated in the attached PER forms, the state is within the 20% admin and planning cap.

Technical Assistance

In 2013, only \$33,732 was awarded for technical assistance. In recent years the amount of CDBG funds used for technical assistance has decreased significantly.

CDBG Housing Expenditures, Barriers to affordable housing and Affirmatively Furthering Fair Housing (AFFH)

In 2013 the percentage of housing based approved applications increased to 47%, an all-time high for housing with CDBG funds. Funded projects are primarily single-family rehabilitation projects but there was an increased demand for CDBG-based multi-family rehab assistance. A substantial amount of CDBG funds were used for land acquisition for new affordable units and home buyer assistance programs. The total amount of CDBG funds used for housing was north of \$2.1 million. The CDBG Housing expenditures are broken out as follows:

• Single family housing rehabilitation:	\$376,200
• Multi family rehabilitation:	\$482,550
• Acquisition of property for housing projects:	\$504,312
• Home Buyer Assistance:	\$321,239
• Program Delivery:	\$203,541
• Homeless Prevention	\$104,830
• Infrastructure for Housing	\$100,000

See narrative 3 attached for the current conditions and barriers to affordable housing and narrative 4 for an analysis of the impediments to fair housing. The state CDBG program requires each rating and ranking system to take into account the applicants' efforts to affirmatively further fair housing. State law also requires jurisdictions to have affordable housing plans that evaluate barriers to fair housing. Greater efforts are also being made at the state level to insure local compliance with affirmatively furthering fair housing laws.

HUD Section 108 Loan Guarantee (CDBG)

The only Section 108 loan ever approved through the CDBG program was in 1995, and the project failed. The loan was eventually repaid, but since that time, the State has declined to use this source of funding.

Acquisition

The state had three acquisition projects in 2013. Two involved vacant property for single and multi family housing and one was a transfer of ownership of an occupied multi-family complex. The state requires all recipients of acquisition funds to receive specialized Uniform Relocation Act training. The training manual is available for citizen perusal upon request.

NSP Program Update

The State of Utah is proud to be recognized as a national leader in the expenditure of NSP1 funds. The state did not apply for NSP2 funding. With NSP1 over 300 units have been made available to low-income persons with 25% of the nearly \$20 million being used to benefit those at 50% of area median income. Several medium to large parcels of land were land banked and will be developed as affordable housing in the near future. The largest land banked parcel is under development and will be ready to begin occupancy of the 180 units in November 2014. \$4.5 million of the NSP3 funds were used for a construction loan to a local affordable housing developer to build a large multi-use project in downtown Salt Lake City. \$451,501 of the funds were granted to a local non-profit for the acquisition, rehabilitation and re-sale of single family homes to qualified home buyers. The non-profit followed the same model used under NSP1 for the acquisition of the homes.

Other Attachments and Narratives

- PER 2010- 2013
- PER Adjustments Narrative

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Part I: Financial Status

A. Sources of State CDBG Funds

1)	State Allocation	\$7,516,388.00
2)	Program Income	
3)	Program income receipted in IDIS	\$17,745.00
3 a)	Program income receipted from Section 108 Projects (for SI type)	\$0.00
4)	Adjustment to compute total program income	\$0.00
5)	Total program income (sum of lines 3 and 4)	\$17,745.00
6)	Section 108 Loan Funds	\$0.00
7)	Total State CDBG Resources (sum of lines 1,5 and 6)	\$7,534,133.00

B. State CDBG Resources by Use

8)	State Allocation	
9)	Obligated to recipients	\$7,591,160.31
10)	Adjustment to compute total obligated to recipients	-\$325,100.31
11)	Total obligated to recipients (sum of lines 9 and 10)	\$7,266,060.00
12)	Set aside for State Administration	\$250,328.00
13)	Adjustment to compute total set aside for State Administration	\$0.00
14)	Total set aside for State Administration (sum of lines 12 and 13)	\$250,328.00
15)	Set aside for Technical Assistance	
16)	Adjustment to compute total set aside for Technical Assistance	\$0.00
17)	Total set aside for Technical Assistance (sum of lines 15 and 16)	\$0.00
18)	State funds set aside for State Administration match	\$155,500.00

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19)	Program Income	
20)	Returned to the state and redistributed	
20 a)	Section 108 program income expended for the Section 108 repayment	
21)	Adjustment to compute total redistributed	\$17,745.00
22)	Total redistributed (sum of lines 20 and 21)	\$17,745.00
23)	Returned to the state and not yet redistributed	\$0.00
23 a)	Section 108 program income not yet disbursed	\$0.00
24)	Adjustment to compute total not yet redistributed	\$0.00
25)	Total not yet redistributed (sum of lines 23 and 24)	\$0.00
26)	Retained by recipients	\$17,745.00
27)	Adjustment to compute total retained	-\$17,745.00
28)	Total retained (sum of lines 26 and 27)	\$0.00

C. Expenditures of State CDBG Resources

29)	Drawn for State Administration	\$250,328.00
30)	Adjustment to amount drawn for State Administration	\$0.00
31)	Total drawn for State Administration	\$250,328.00
32)	Drawn for Technical Assistance	
33)	Adjustment to amount drawn for Technical Assistance	\$0.00
34)	Total drawn for Technical Assistance	\$0.00
35)	Drawn for Section 108 Repayments	
36)	Adjustment to amount drawn for Section 108 Repayments	\$0.00
37)	Total drawn for Section 108 Repayments	\$0.00
38)	Drawn for all other activities	\$7,354,429.31
39)	Adjustment to amount drawn for all other activities	-\$100,996.00
40)	Total drawn for all other activities	\$7,253,433.31

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D. Compliance with Public Service (PS) Cap

41)	Disbursed in IDIS for PS	\$138,707.00
42)	Adjustment to compute total disbursed for PS	\$0.00
43)	Total disbursed for PS (sum of lines 41 and 42)	\$138,707.00
44)	Amount subject to PS cap	
45)	State Allocation (line 1)	\$7,516,388.00
46)	Program Income Received (line 5)	\$17,745.00
47)	Adjustment to compute total subject to PS cap	\$0.00
48)	Total subject to PS cap (sum of lines 45-47)	\$7,534,133.00
49)	Percent of funds disbursed to date for PS (line 43 / line 48)	1.84%

E. Compliance with Planning and Administration (P/A) Cap

50)	Disbursed in IDIS for P/A	\$996,247.00
51)	Adjustment to compute total disbursed for P/A	-\$252,461.80
52)	Total disbursed for P/A (sum of lines 50 and 51)	\$743,785.20
53)	Amount subject to P/A cap	
54)	State Allocation (line 1)	\$7,516,388.00
55)	Program Income Received (line 5)	\$17,745.00
56)	Adjustment to compute total subject to P/A cap	\$0.00
57)	Total subject to P/A cap (sum of lines 54-56)	\$7,534,133.00
58)	Percent of funds disbursed to date for P/A (line 52 / line 57)	9.87%

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Part II: Compliance with Overall Low and Moderate Income Benefit

59) Period specified for benefit: grant years 2010 – 2012

60) Final PER for compliance with the overall benefit test: [**Yes**]

	Grant Year	2010	2011	2012	Total
61) Benefit LMI persons and households		6,608,510.31	4,831,272.56	3,401,420.00	14,841,202.87
62) Benefit LMI, 108 activities		0.00	0.00	0.00	0.00
63) Benefit LMI, other adjustments		0.00	0.00	0.00	0.00
64) Total, Benefit LMI (sum of lines 61-63)		6,608,510.31	4,831,272.56	3,401,420.00	14,841,202.87
65) Prevent/Eliminate Slum/Blight		0.00	0.00	0.00	0.00
66) Prevent Slum/Blight, 108 activities		0.00	0.00	0.00	0.00
67) Total, Prevent Slum/Blight (sum of lines 65 and 66)		0.00	0.00	0.00	0.00
68) Meet Urgent Community Development Needs		0.00	0.00	0.00	0.00
69) Meet Urgent Needs, 108 activities		0.00	0.00	0.00	0.00
70) Total, Meet Urgent Needs (sum of lines 68 and 69)		0.00	0.00	0.00	0.00
71) Acquisition, New Construction, Rehab/Special Areas noncountable		0.00	10,709.28	0.00	10,709.28
72) Total disbursements subject to overall LMI benefit (sum of lines 64, 67, 70, and 71)		6,608,510.31	4,841,981.84	3,401,420.00	14,851,912.15
73) Low and moderate income benefit (line 64 / line 72)		1.00	1.00	1.00	1.00
74) Other Disbursements		1.00	1.00	1.00	3.00
75) State Administration		250,328.00	64,466.43	0.00	314,794.43
76) Technical Assistance		0.00	0.00	0.00	0.00
77) Local Administration		745,919.00	436,262.00	353,569.00	1,535,750.00
78) Section 108 repayments		0.00	0.00	0.00	0.00

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Part I: Financial Status

A. Sources of State CDBG Funds

1)	State Allocation	\$4,308,352.00
2)	Program Income	
3)	Program income receipted in IDIS	\$0.00
3 a)	Program income receipted from Section 108 Projects (for SI type)	\$0.00
4)	Adjustment to compute total program income	\$0.00
5)	Total program income (sum of lines 3 and 4)	\$0.00
6)	Section 108 Loan Funds	\$0.00
7)	Total State CDBG Resources (sum of lines 1,5 and 6)	\$4,308,352.00

B. State CDBG Resources by Use

8)	State Allocation	
9)	Obligated to recipients	\$4,333,054.00
10)	Adjustment to compute total obligated to recipients	-\$210,867.00
11)	Total obligated to recipients (sum of lines 9 and 10)	\$4,122,187.00
12)	Set aside for State Administration	\$186,165.00
13)	Adjustment to compute total set aside for State Administration	\$0.00
14)	Total set aside for State Administration (sum of lines 12 and 13)	\$186,165.00
15)	Set aside for Technical Assistance	
16)	Adjustment to compute total set aside for Technical Assistance	\$0.00
17)	Total set aside for Technical Assistance (sum of lines 15 and 16)	\$0.00
18)	State funds set aside for State Administration match	\$126,700.00

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19)	Program Income	
20)	Returned to the state and redistributed	
20 a)	Section 108 program income expended for the Section 108 repayment	
21)	Adjustment to compute total redistributed	\$0.00
22)	Total redistributed (sum of lines 20 and 21)	\$0.00
23)	Returned to the state and not yet redistributed	\$0.00
23 a)	Section 108 program income not yet disbursed	\$0.00
24)	Adjustment to compute total not yet redistributed	\$0.00
25)	Total not yet redistributed (sum of lines 23 and 24)	\$0.00
26)	Retained by recipients	\$0.00
27)	Adjustment to compute total retained	\$0.00
28)	Total retained (sum of lines 26 and 27)	\$0.00

C. Expenditures of State CDBG Resources

29)	Drawn for State Administration	\$64,466.43
30)	Adjustment to amount drawn for State Administration	-\$4,972.66
31)	Total drawn for State Administration	\$59,493.77
32)	Drawn for Technical Assistance	
33)	Adjustment to amount drawn for Technical Assistance	\$0.00
34)	Total drawn for Technical Assistance	\$0.00
35)	Drawn for Section 108 Repayments	
36)	Adjustment to amount drawn for Section 108 Repayments	\$0.00
37)	Total drawn for Section 108 Repayments	\$0.00
38)	Drawn for all other activities	\$5,267,534.56
39)	Adjustment to amount drawn for all other activities	-\$1,145,347.56
40)	Total drawn for all other activities	\$4,122,187.00

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D. Compliance with Public Service (PS) Cap

41)	Disbursed in IDIS for PS	\$115,840.00
42)	Adjustment to compute total disbursed for PS	\$0.00
43)	Total disbursed for PS (sum of lines 41 and 42)	\$115,840.00
44)	Amount subject to PS cap	
45)	State Allocation (line 1)	\$4,308,352.00
46)	Program Income Received (line 5)	\$0.00
47)	Adjustment to compute total subject to PS cap	\$0.00
48)	Total subject to PS cap (sum of lines 45-47)	\$4,308,352.00
49)	Percent of funds disbursed to date for PS (line 43 / line 48)	2.69%

E. Compliance with Planning and Administration (P/A) Cap

50)	Disbursed in IDIS for P/A	\$500,728.43
51)	Adjustment to compute total disbursed for P/A	-\$96,919.43
52)	Total disbursed for P/A (sum of lines 50 and 51)	\$403,809.00
53)	Amount subject to P/A cap	
54)	State Allocation (line 1)	\$4,308,352.00
55)	Program Income Received (line 5)	\$0.00
56)	Adjustment to compute total subject to P/A cap	\$0.00
57)	Total subject to P/A cap (sum of lines 54-56)	\$4,308,352.00
58)	Percent of funds disbursed to date for P/A (line 52 / line 57)	9.37%

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Part II: Compliance with Overall Low and Moderate Income Benefit

59) Period specified for benefit: grant years 2010 – 2012

60) Final PER for compliance with the overall benefit test: [**Yes**]

	Grant Year	2010	2011	2012	Total
61) Benefit LMI persons and households		6,608,510.31	4,831,272.56	3,401,420.00	14,841,202.87
62) Benefit LMI, 108 activities		0.00	0.00	0.00	0.00
63) Benefit LMI, other adjustments		0.00	0.00	0.00	0.00
64) Total, Benefit LMI (sum of lines 61-63)		6,608,510.31	4,831,272.56	3,401,420.00	14,841,202.87
65) Prevent/Eliminate Slum/Blight		0.00	0.00	0.00	0.00
66) Prevent Slum/Blight, 108 activities		0.00	0.00	0.00	0.00
67) Total, Prevent Slum/Blight (sum of lines 65 and 66)		0.00	0.00	0.00	0.00
68) Meet Urgent Community Development Needs		0.00	0.00	0.00	0.00
69) Meet Urgent Needs, 108 activities		0.00	0.00	0.00	0.00
70) Total, Meet Urgent Needs (sum of lines 68 and 69)		0.00	0.00	0.00	0.00
71) Acquisition, New Construction, Rehab/Special Areas noncountable		0.00	10,709.28	0.00	10,709.28
72) Total disbursements subject to overall LMI benefit (sum of lines 64, 67, 70, and 71)		6,608,510.31	4,841,981.84	3,401,420.00	14,851,912.15
73) Low and moderate income benefit (line 64 / line 72)		1.00	1.00	1.00	1.00
74) Other Disbursements		1.00	1.00	1.00	3.00
75) State Administration		250,328.00	64,466.43	0.00	314,794.43
76) Technical Assistance		0.00	0.00	0.00	0.00
77) Local Administration		745,919.00	436,262.00	353,569.00	1,535,750.00
78) Section 108 repayments		0.00	0.00	0.00	0.00

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Part I: Financial Status

A. Sources of State CDBG Funds

1)	State Allocation	\$4,088,940.00
2)	Program Income	
3)	Program income receipted in IDIS	\$10.00
3 a)	Program income receipted from Section 108 Projects (for SI type)	\$0.00
4)	Adjustment to compute total program income	-\$10.00
5)	Total program income (sum of lines 3 and 4)	\$0.00
6)	Section 108 Loan Funds	\$0.00
7)	Total State CDBG Resources (sum of lines 1,5 and 6)	\$4,088,940.00

B. State CDBG Resources by Use

8)	State Allocation	
9)	Obligated to recipients	\$3,883,189.64
10)	Adjustment to compute total obligated to recipients	-\$16,917.64
11)	Total obligated to recipients (sum of lines 9 and 10)	\$3,866,272.00
12)	Set aside for State Administration	
13)	Adjustment to compute total set aside for State Administration	\$222,668.00
14)	Total set aside for State Administration (sum of lines 12 and 13)	\$222,668.00
15)	Set aside for Technical Assistance	
16)	Adjustment to compute total set aside for Technical Assistance	\$0.00
17)	Total set aside for Technical Assistance (sum of lines 15 and 16)	\$0.00
18)	State funds set aside for State Administration match	\$126,700.00

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19)	Program Income	
20)	Returned to the state and redistributed	
20 a)	Section 108 program income expended for the Section 108 repayment	
21)	Adjustment to compute total redistributed	\$0.00
22)	Total redistributed (sum of lines 20 and 21)	\$0.00
23)	Returned to the state and not yet redistributed	\$0.00
23 a)	Section 108 program income not yet disbursed	\$0.00
24)	Adjustment to compute total not yet redistributed	\$0.00
25)	Total not yet redistributed (sum of lines 23 and 24)	\$0.00
26)	Retained by recipients	\$0.00
27)	Adjustment to compute total retained	\$0.00
28)	Total retained (sum of lines 26 and 27)	\$0.00

C. Expenditures of State CDBG Resources

29)	Drawn for State Administration	
30)	Adjustment to amount drawn for State Administration	\$0.00
31)	Total drawn for State Administration	\$0.00
32)	Drawn for Technical Assistance	
33)	Adjustment to amount drawn for Technical Assistance	\$0.00
34)	Total drawn for Technical Assistance	\$0.00
35)	Drawn for Section 108 Repayments	
36)	Adjustment to amount drawn for Section 108 Repayments	\$0.00
37)	Total drawn for Section 108 Repayments	\$0.00
38)	Drawn for all other activities	\$3,754,989.00
39)	Adjustment to amount drawn for all other activities	\$52,190.00
40)	Total drawn for all other activities	\$3,807,179.00

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D. Compliance with Public Service (PS) Cap

41)	Disbursed in IDIS for PS	\$37,200.00
42)	Adjustment to compute total disbursed for PS	\$0.00
43)	Total disbursed for PS (sum of lines 41 and 42)	\$37,200.00
44)	Amount subject to PS cap	
45)	State Allocation (line 1)	\$4,088,940.00
46)	Program Income Received (line 5)	\$0.00
47)	Adjustment to compute total subject to PS cap	\$0.00
48)	Total subject to PS cap (sum of lines 45-47)	\$4,088,940.00
49)	Percent of funds disbursed to date for PS (line 43 / line 48)	0.91%

E. Compliance with Planning and Administration (P/A) Cap

50)	Disbursed in IDIS for P/A	\$353,569.00
51)	Adjustment to compute total disbursed for P/A	-\$24,541.00
52)	Total disbursed for P/A (sum of lines 50 and 51)	\$329,028.00
53)	Amount subject to P/A cap	
54)	State Allocation (line 1)	\$4,088,940.00
55)	Program Income Received (line 5)	\$0.00
56)	Adjustment to compute total subject to P/A cap	\$0.00
57)	Total subject to P/A cap (sum of lines 54-56)	\$4,088,940.00
58)	Percent of funds disbursed to date for P/A (line 52 / line 57)	8.05%

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Part II: Compliance with Overall Low and Moderate Income Benefit

59) Period specified for benefit: grant years 2012 — 2012

60) Final PER for compliance with the overall benefit test: [**No**]

	Grant Year	2012	2013	Total
61) Benefit LMI persons and households		3,401,420.00	2,338,944.00	5,740,364.00
62) Benefit LMI, 108 activities		0.00	0.00	0.00
63) Benefit LMI, other adjustments		0.00	0.00	0.00
64) Total, Benefit LMI (sum of lines 61-63)		3,401,420.00	2,338,944.00	5,740,364.00
65) Prevent/Eliminate Slum/Blight		0.00	0.00	0.00
66) Prevent Slum/Blight, 108 activities		0.00	0.00	0.00
67) Total, Prevent Slum/Blight (sum of lines 65 and 66)		0.00	0.00	0.00
68) Meet Urgent Community Development Needs		0.00	0.00	0.00
69) Meet Urgent Needs, 108 activities		0.00	0.00	0.00
70) Total, Meet Urgent Needs (sum of lines 68 and 69)		0.00	0.00	0.00
71) Acquisition, New Construction, Rehab/Special Areas noncountable		0.00	0.00	0.00
72) Total disbursements subject to overall LMI benefit (sum of lines 64, 67, 70, and 71)		3,401,420.00	2,338,944.00	5,740,364.00
73) Low and moderate income benefit (line 64 / line 72)		1.00	1.00	1.00
74) Other Disbursements		1.00	1.00	2.00
75) State Administration		0.00	0.00	0.00
76) Technical Assistance		0.00	0.00	0.00
77) Local Administration		353,589.00	352,032.00	705,601.00
78) Section 108 repayments		0.00	0.00	0.00

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Part I: Financial Status

A. Sources of State CDBG Funds

1)	State Allocation	\$4,502,609.00
2)	Program Income	
3)	Program income receipted in IDIS	\$0.00
3 a)	Program income receipted from Section 108 Projects (for SI type)	\$0.00
4)	Adjustment to compute total program income	\$0.00
5)	Total program income (sum of lines 3 and 4)	\$0.00
6)	Section 108 Loan Funds	\$0.00
7)	Total State CDBG Resources (sum of lines 1,5 and 6)	\$4,502,609.00

B. State CDBG Resources by Use

8)	State Allocation	
9)	Obligated to recipients	\$3,417,676.00
10)	Adjustment to compute total obligated to recipients	\$849,855.00
11)	Total obligated to recipients (sum of lines 9 and 10)	\$4,267,531.00
12)	Set aside for State Administration	
13)	Adjustment to compute total set aside for State Administration	\$0.00
14)	Total set aside for State Administration (sum of lines 12 and 13)	\$0.00
15)	Set aside for Technical Assistance	
16)	Adjustment to compute total set aside for Technical Assistance	\$0.00
17)	Total set aside for Technical Assistance (sum of lines 15 and 16)	\$0.00
18)	State funds set aside for State Administration match	\$126,700.00

IDIS - PR28

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19)	Program Income	
20)	Returned to the state and redistributed	
20 a)	Section 108 program income expended for the Section 108 repayment	
21)	Adjustment to compute total redistributed	\$0.00
22)	Total redistributed (sum of lines 20 and 21)	\$0.00
23)	Returned to the state and not yet redistributed	\$0.00
23 a)	Section 108 program income not yet disbursed	\$0.00
24)	Adjustment to compute total not yet redistributed	\$0.00
25)	Total not yet redistributed (sum of lines 23 and 24)	\$0.00
26)	Retained by recipients	\$0.00
27)	Adjustment to compute total retained	\$0.00
28)	Total retained (sum of lines 26 and 27)	\$0.00

C. Expenditures of State CDBG Resources

29)	Drawn for State Administration	
30)	Adjustment to amount drawn for State Administration	\$0.00
31)	Total drawn for State Administration	\$0.00
32)	Drawn for Technical Assistance	
33)	Adjustment to amount drawn for Technical Assistance	\$0.00
34)	Total drawn for Technical Assistance	\$0.00
35)	Drawn for Section 108 Repayments	
36)	Adjustment to amount drawn for Section 108 Repayments	\$0.00
37)	Total drawn for Section 108 Repayments	\$0.00
38)	Drawn for all other activities	\$2,690,976.00
39)	Adjustment to amount drawn for all other activities	-\$298,224.00
40)	Total drawn for all other activities	\$2,392,752.00

IDIS - PR28

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D. Compliance with Public Service (PS) Cap

41)	Disbursed in IDIS for PS	\$104,830.00
42)	Adjustment to compute total disbursed for PS	\$0.00
43)	Total disbursed for PS (sum of lines 41 and 42)	\$104,830.00
44)	Amount subject to PS cap	
45)	State Allocation (line 1)	\$4,502,609.00
46)	Program Income Received (line 5)	\$0.00
47)	Adjustment to compute total subject to PS cap	\$0.00
48)	Total subject to PS cap (sum of lines 45-47)	\$4,502,609.00
49)	Percent of funds disbursed to date for PS (line 43 / line 48)	2.33%

E. Compliance with Planning and Administration (P/A) Cap

50)	Disbursed in IDIS for P/A	\$352,032.00
51)	Adjustment to compute total disbursed for P/A	-\$13,182.00
52)	Total disbursed for P/A (sum of lines 50 and 51)	\$338,850.00
53)	Amount subject to P/A cap	
54)	State Allocation (line 1)	\$4,502,609.00
55)	Program Income Received (line 5)	\$0.00
56)	Adjustment to compute total subject to P/A cap	\$0.00
57)	Total subject to P/A cap (sum of lines 54-56)	\$4,502,609.00
58)	Percent of funds disbursed to date for P/A (line 52 / line 57)	7.53%

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Part II: Compliance with Overall Low and Moderate Income Benefit

59) Period specified for benefit: grant years 2013 — 2013

60) Final PER for compliance with the overall benefit test: [**No**]

	Grant Year	2013	2014	Total
61) Benefit LMI persons and households		2,338,944.00	0.00	2,338,944.00
62) Benefit LMI, 108 activities		0.00	0.00	0.00
63) Benefit LMI, other adjustments		0.00	0.00	0.00
64) Total, Benefit LMI (sum of lines 61-63)		2,338,944.00	0.00	2,338,944.00
65) Prevent/Eliminate Slum/Blight		0.00	0.00	0.00
66) Prevent Slum/Blight, 108 activities		0.00	0.00	0.00
67) Total, Prevent Slum/Blight (sum of lines 65 and 66)		0.00	0.00	0.00
68) Meet Urgent Community Development Needs		0.00	0.00	0.00
69) Meet Urgent Needs, 108 activities		0.00	0.00	0.00
70) Total, Meet Urgent Needs (sum of lines 68 and 69)		0.00	0.00	0.00
71) Acquisition, New Construction, Rehab/Special Areas noncountable		0.00	0.00	0.00
72) Total disbursements subject to overall LMI benefit (sum of lines 64, 67, 70, and 71)		2,338,944.00	0.00	2,338,944.00
73) Low and moderate income benefit (line 64 / line 72)		1.00	0.00	1.00
74) Other Disbursements		1.00	1.00	2.00
75) State Administration		0.00	0.00	0.00
76) Technical Assistance		0.00	0.00	0.00
77) Local Administration		352,032.00	4,501.00	356,533.00
78) Section 108 repayments		0.00	0.00	0.00

PR28 Report – Adjustments Narrative (Submitted 09/30/2014)

Grant Number B10DC49001 (IDIS data printed 09/05/2014)

Line 10 adjustment: - \$325,100.31 reflects that the total amount obligated to recipients as of 06/30/2014 \$7,266,060.

Line 21 adjustment: \$17,745 reflects that this program income was redistributed.

Line 27 adjustment: - \$17,745 reflects that the total retained was zero.

Line 39 adjustment: - \$100,996 reflects that the total drawn for all other activities as of 6/30/14 was \$7,253,433.31.

Line 51 adjustment: - \$252,461.80 reflects that the total disbursed for Planning/Administration activities as of 6/30/14 was \$743,785.20.

Grant Number B11DC490001 (IDIS data printed 09/05/2014)

Line 10 adjustment: - \$181,577 reflects that the total obligated as of 6/30/14 was \$4,122,187.

Line 30 adjustment: -\$4,972.66 reflects that the total amount drawn for State Admin was zero.

Line 39 adjustment: - \$1,145,347.56 reflects that the total drawn for all other activities as of 6/30/14 was \$4,122,187.

Line 51 adjustment: - \$96,919.43 reflects that the total drawn for Planning/Administration activities as of 6/30/14 was \$403,809.

Grant Number B12DC490001 (IDIS data printed 09/25/2014)

Line 10 adjustment: \$11,732 reflects that the total obligated as of 6/30/14 was \$3,866,272

Line 39 adjustment: \$52,190 reflects that the total drawn for all other activities as of 6/30/14 was \$3,807,179

Line 51 adjustment: - \$22,541 reflects that the total drawn for Planning/Administration activities as of 6/30/14 was \$329,028

Grant Number B13DC490001 (IDIS data printed 09/25/2014)

Line 10 adjustment: \$849,855 reflects that the total obligated as of 6/30/14 was \$4,267,531

Line 39 adjustment: - \$298,224 reflects that the total drawn for all other activities as of 6/30/14 was \$2,392,752

Line 51 adjustment: -\$13,182 reflects that the total drawn for Planning/Administration activities as of 6/30/14 was \$338,850.

The adjustments made to the financial summaries for B-10, B-11, B-12 and B-13 reflect data as of 6/30/14 for each award printed on dates listed above. Data was reconciled to the State of Utah's financial management system (FINET) and HCD's Grants Management System (WebGrants).

APPENDIX IV ESG IDIS SUPPLEMENT TO THE CAPER

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	UTAH
Organizational DUNS Number	878147099
EIN/TIN Number	876000545
Identify the Field Office	DENVER
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	Salt Lake City & County CoC Utah Balance of State CoC Provo/Mountainland CoC

2. Reporting Period—All Recipients Complete

Program Year Start Date	07/01/2014
Program Year End Date	06/30/2015

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name
City
State
Zip Code
DUNS Number
Is subrecipient a victim services provider?
Subrecipient Organization Type
ESG Subgrant or Contract Award Amount

The Road Home
 Salt Lake City
 Utah
 84010
 #612496802
 No
 Private, non-profit
 \$884,722

Catholic Community Services of Utah
 Salt Lake City
 Utah
 84102
 #93115772
 No
 Private, Non-Profit
 \$5,000

**Family Promise Salt Lake
Salt Lake City
Utah
84110
#126327969
No
Private, non-profit
\$28,966**

**Volunteers of America
Salt Lake City
Utah
84115
#931851265
No
Private, Non-profit
\$213,298**

**St. Anne's Center
Odgen
Utah
84401
#185519188
No
Private, Non-Profit
\$100,000**

**Family Connection Center of Davis Support Center
Clearfield
Utah
84015
#556422442
No
Private, Non-Profit
\$58,842**

**Iron County Care & Share, Inc.
Cedar City
Utah
84721-2241
612667147
No
Private, Non-Profit
\$59,700**

CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

TABLE 1 – HOUSEHOLD INFORMATION FOR HOMELESS PREVENTION ACTIVITIES

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	406
Children	273
Don't Know/Refused/Other	0
Missing Information	0
Total	680

TABLE 2 – HOUSEHOLD INFORMATION FOR RAPID RE-HOUSING ACTIVITIES

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	6569
Children	1494
Don't Know/Refused/Other	4
Missing Information	0
Total	7827

TABLE 3 – SHELTER INFORMATION

4d. Street Outreach

Number of Persons in Households	Total
Adults	962
Children	4
Don't Know/Refused/Other	14
Missing Information	0
Total	980

TABLE 4 – HOUSEHOLD INFORMATION FOR STREET OUTREACH

4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	7627
Children	1583
Don't Know/Refused/Other	18
Missing Information	0
Total	9228

TABLE 5 – HOUSEHOLD INFORMATION FOR PERSONS SERVED WITH ESG

5. Gender—Complete for All Activities

	Total
Male	5907
Female	3295
Transgender	20
Don't Know/Refused/Other	6
Missing Information	0
Total	9228

TABLE 6 – GENDER INFORMATION

6. AGE—COMPLETE FOR ALL ACTIVITIES

	Total
Under 18	1583
18-24	1272
25 and over	6355
Don't Know/Refused/Other	18
Missing Information	0
Total	9228

TABLE 7 – AGE INFORMATION

7. Special Populations Served—Complete for All Activities

Number of Persons in Households				Total
Subpopulation	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters	
Veterans	0	7	498	500
Victims of Domestic Violence	0	12	568	574
Elderly	0	7	240	241

Subpopulation	Total Persons Served – Preventio n	Total Persons Served – RRH	Total Persons Served in Emergency Shelters	Total
HIV/AIDS	0	0	10	10
Chronically Homeless	0	31	445	454
Persons with Disabilities:				
Severely Mentally Ill	0	50	612	625
Chronic Substance Abuse	0	23	228	240
Other Disability	0	66	955	971
Total (Unduplicated if possible)	0	139	1795	1323

TABLE 8 – SPECIAL POPULATION SERVED

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

8. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	0
Total Number of bed-nights provided	0
Capacity Utilization	0.00%

TABLE 9 – SHELTER CAPACITY

9. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

The state office ESG program coordinated with all 3 continuum of care for the State of Utah to create what performance measure would be a benefit to both programmatic areas. Those areas we will now collectively measure are:

1. Length of stay in shelter
2. Number of Positive exits from all housing programs
3. Increase in income and/or benefits

These reports to measures these performance measures have been created in our statewide homeless management information system (HMIS) and are being tested to be used in the next year.

10. Narrative 10 Homelessness and other special needs

Utah continues to be a national leader on homeless issues. We have effectively used programs to reduce the chronic homeless population by 72% since 2005 and to effectively end homelessness through the Emergency Solutions Grant Rapid Re-Housing Program.

The State Homeless Coordinating Committee has worked to ensure a collaborative use of funds under its discretion, including the addition of TANF for prevention and rapid rehousing rental assistance activities.

The State continues to operate the Homeless Management Information System (HMIS) on behalf of the three Continua of Care and leads the efforts on the annual Point in Time Count. The continua of Care are also working to implement coordinated assessment systems, primarily through the HMIS format. Emphasis has been placed on accurate measurement of the needs, capacity, and gaps in services which exist in our system.

This information is shared with Utah's Local Homeless Coordinating Committees to help streamline services, fill the gaps and address the local needs of households experiencing homelessness within their jurisdictions.

The 2012 Utah Point in Time Count shows the count of those chronically homeless at 539. Since 2005, Utah's chronically homeless population is down 72%. HCD believes that "all can be housed" and continues to work toward ending chronic homelessness by 2015. The Point in Time Count for 2014 shows a decrease in overall homelessness from the previous year.

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	FY 2012	FY 2013	FY 2014
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
Subtotal Homelessness Prevention	0	0	0

TABLE 10 – ESG EXPENDITURES FOR HOMELESSNESS PREVENTION

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	FY 2012	FY 2013	FY 2014
Expenditures for Rental Assistance	35,676	25,641	397,875
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	18,568	16,871	128,475
Expenditures for Housing Relocation & Stabilization Services - Services	8,736	7,106	133,672
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
Subtotal Rapid Re-Housing	62,980	49,618	660,022

TABLE 11 – ESG EXPENDITURES FOR RAPID RE-HOUSING

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	FY 2012	FY 2013	FY 2014
Essential Services	680,098	549,026	79,960
Operations	474,668	44,130	225,154
Renovation	0	0	0
Major Rehab	0	0	0
Conversion	0	0	0
Subtotal	629,650	593,156	305,114

TABLE 12 – ESG EXPENDITURES FOR EMERGENCY SHELTER

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	FY 2012	FY 2013	FY 2014
Street Outreach	0	0	171,995
HMIS	0	100,000	0
Administration	26,455	82,571	180,635

TABLE 13 - OTHER GRANT EXPENDITURES

11e. Total ESG Grant Funds

Total ESG Funds Expended	FY 2012	FY 2013	FY 2014
	719,085	676,888	1,317,766

TABLE 14 - TOTAL ESG FUNDS EXPENDED

11f. Match Source

	FY 2012	FY 2013	FY 2014
Other Non-ESG HUD Funds	0	0	0
Other Federal Funds	0	0	0
State Government	861,277	823,705	1,317,766
Local Government	0	0	0
Private Funds	0	0	0
Other	0	0	0
Fees	0	0	0
Program Income	0	0	0
Total Match Amount	861,277	823,705	1,317,766

TABLE 15 - OTHER FUNDS EXPENDED ON ELIGIBLE ESG ACTIVITIES

11g. Total

Total Amount of Funds Expended on ESG Activities	FY 2012	FY 2013	FY 2014
	1,580,362	1,500,593	2,635,532

TABLE 16 - TOTAL AMOUNT OF FUNDS EXPENDED ON ESG ACTIVITIES

APPENDIX V PUBLIC NOTICE

The Salt Lake Tribune
www.slttrib.com

MEDIA One
A NEWSPAPER AGENCY COMPANY
www.mediadirect.com

Deseret News
www.deseretnews.com

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West Valley City, UT 84170

Order Confirmation for Ad #0000978653-01

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Client Phone	801-526-4473	Payor Phone	801-526-4473	NOTICE OF HEARING
Account#	9001486562	Payor Account	9001486562	<p>The Utah Housing and Community Development Division will hold a public hearing on September 30, 2014, beginning at 8:00 am at 1385 So State St., Room 137A, to hear comments about the 2013-14 Consolidated Annual Performance and Evaluation Report (CAPER). HCD will receive comments until 5:00 pm that same day. A copy of the report is posted on http://housing.utah.gov/cwhif/reper.html. Equal Opportunity Employer Program - Auxiliary aids and services are available upon request to individuals with disabilities by calling 801-526-9240. Individuals with speech and/or hearing impairments may call the Relay Utah by dialing 711. Spanish Relay Utah: 1-888-346-3162</p> <p>978653 UPAXLP</p>
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Legal Liner	1.0 X 28 Li	<NONE>		
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